

***Introduction to crystal plasticity:  
deformation mechanisms,  
microstructures,  
and crystal preferred orientations***

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*Short course on "Microstructures, textures & anisotropy"  
Geosciences Montpellier (F) - 28 June - 2 July, 2010*

## Macroscopic and microscopic observations & deformation regimes

### **Dislocation creep:**

- Grain elongation (may be erased by recrystallization)
- Undulose extinction, deformation bands & subgrains  
(microstructures directly related to dislocations = may be erased by annealing)
- Dynamic recrystallization may produce a bimodal grain size distribution at high stress (porphyroclasts vs. Neoblasts)
- HT: sinuous or polygonal grain boundaries : migration  
synkinematic grain growth hinder grain size reduction
- **Crystallographic preferred orientation (CPO) = preserved even in annealed (statically recrystallized) rocks**

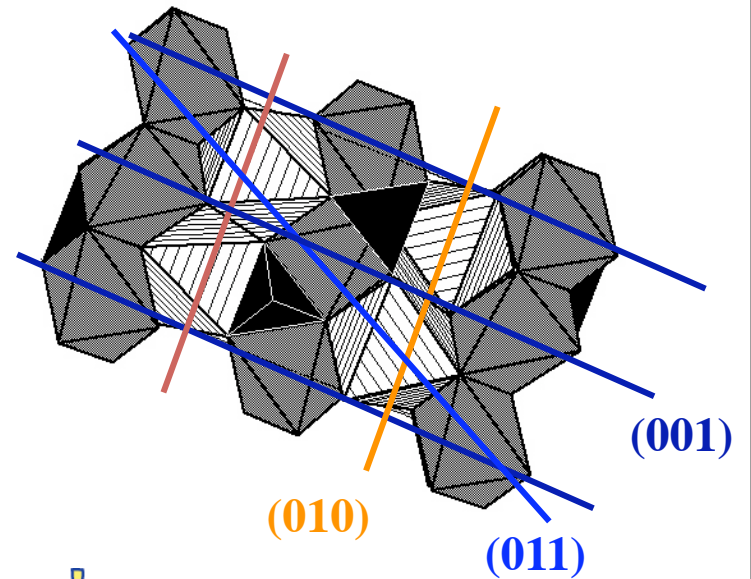
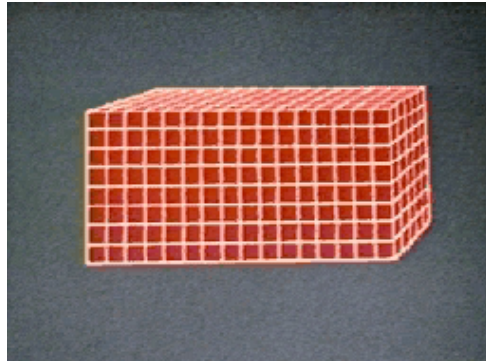
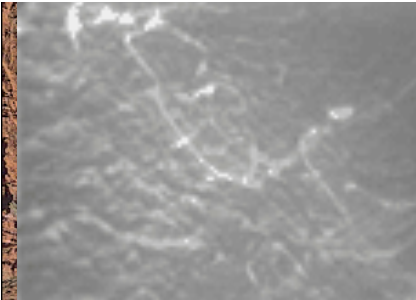
### **Diffusion creep or diffusion-assisted GBS:**

- Fine-grained material ( $\mu\text{m}$ )
- Weak elongation may exist, but generally absent
- Absence of intracrystalline deformation features (Undulose extinction, deformation bands & subgrains)
- **Absence of CPO**

## Dislocation creep : crystal orientation evolution

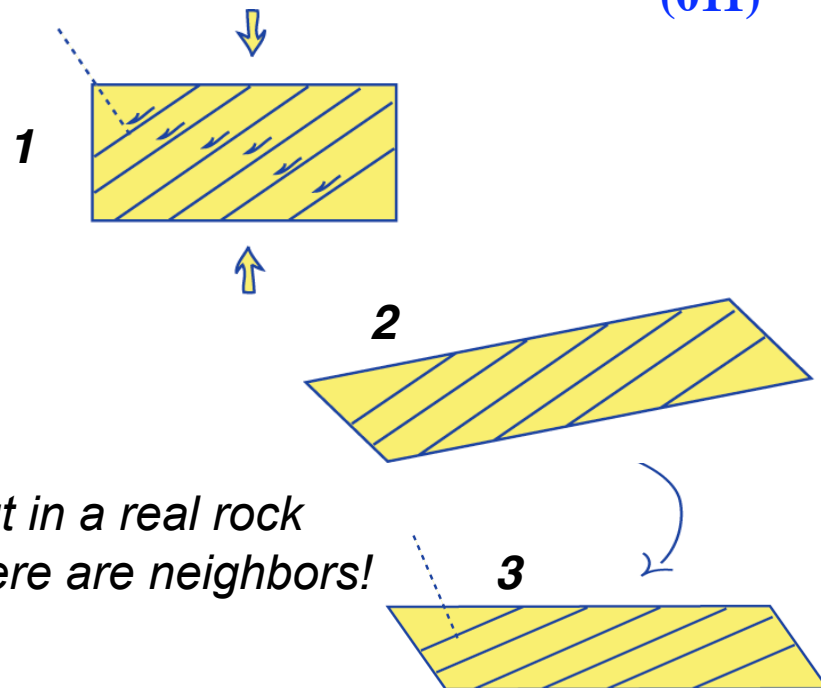
**within a grain (crystal):**

dislocation glide in Ti alloy



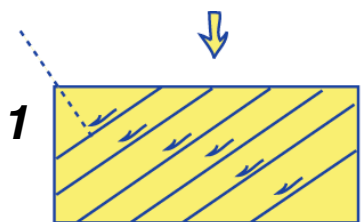
*strain = motion of dislocations  
on well-defined crystal  
planes & directions*

$$\dot{\gamma}^s = \left( \frac{\tau_r^s}{\tau_0^s} \right)^n$$

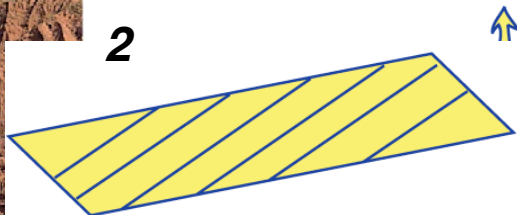


*But in a real rock  
there are neighbors!*





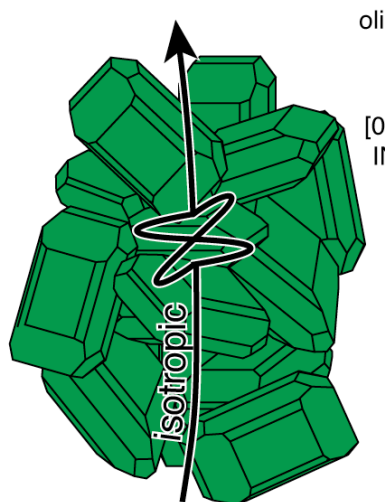
1



2

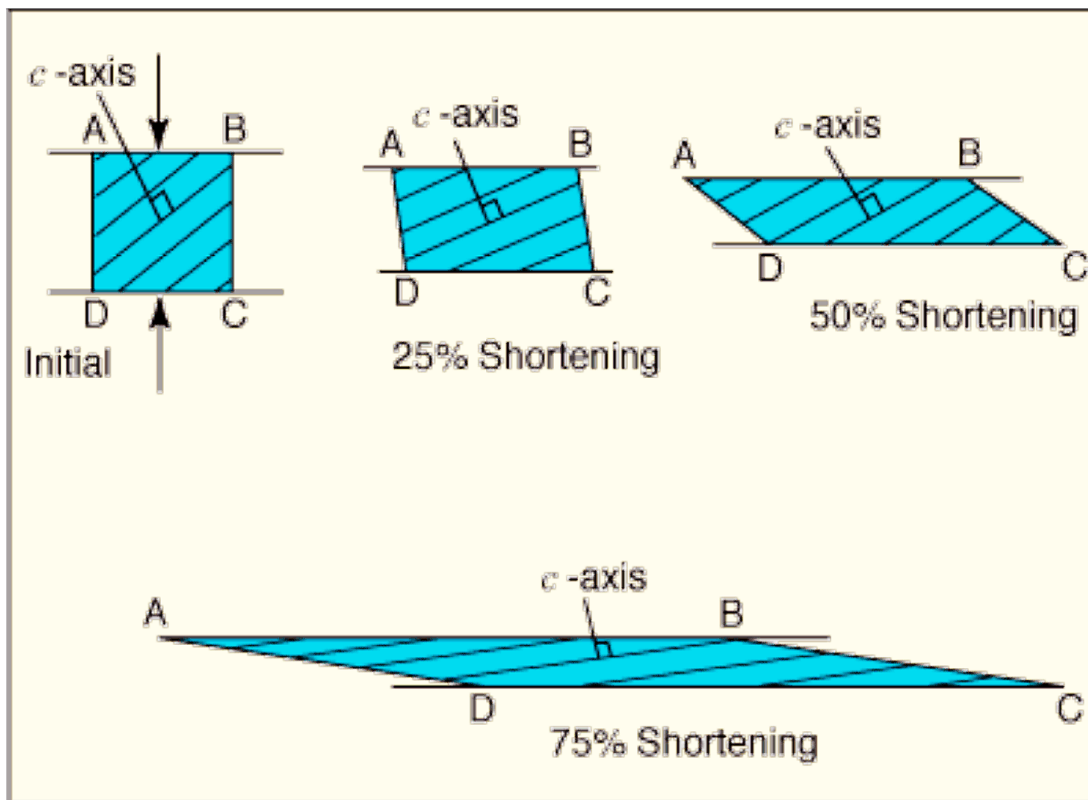


3



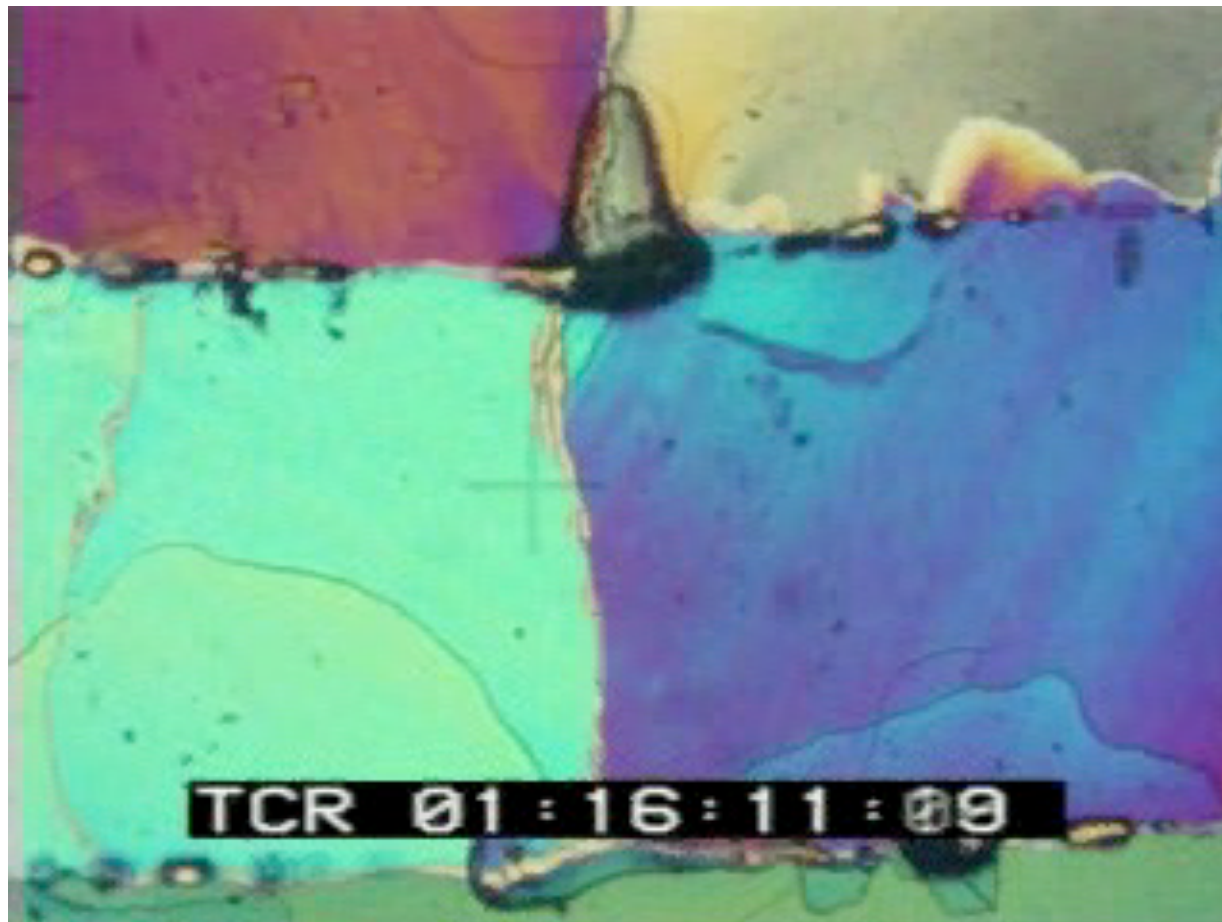
*Dislocations move on well-defined crystal planes & directions  
= crystal deformation has a limited degree of freedom*

- *strain compatibility = rotation of the crystal*
- *development of a crystal preferred orientation*



## Viscoplastic deformation of ice

*dislocation creep = dislocation glide  
+ dynamic recrystallization*



*polycrystalline ice - HT  
in-situ deformation: pure shear  
C. Wilson - Univ. Melbourne, Australia*

- ***parameters controlling CPO evolution during deformation***

- ✓ ***deformation geometry***

- ✓ ***active slip systems, which depend on:***

- crystal structure***

- temperature***

- deviatoric stress***

- water***

- pressure***

- melt***

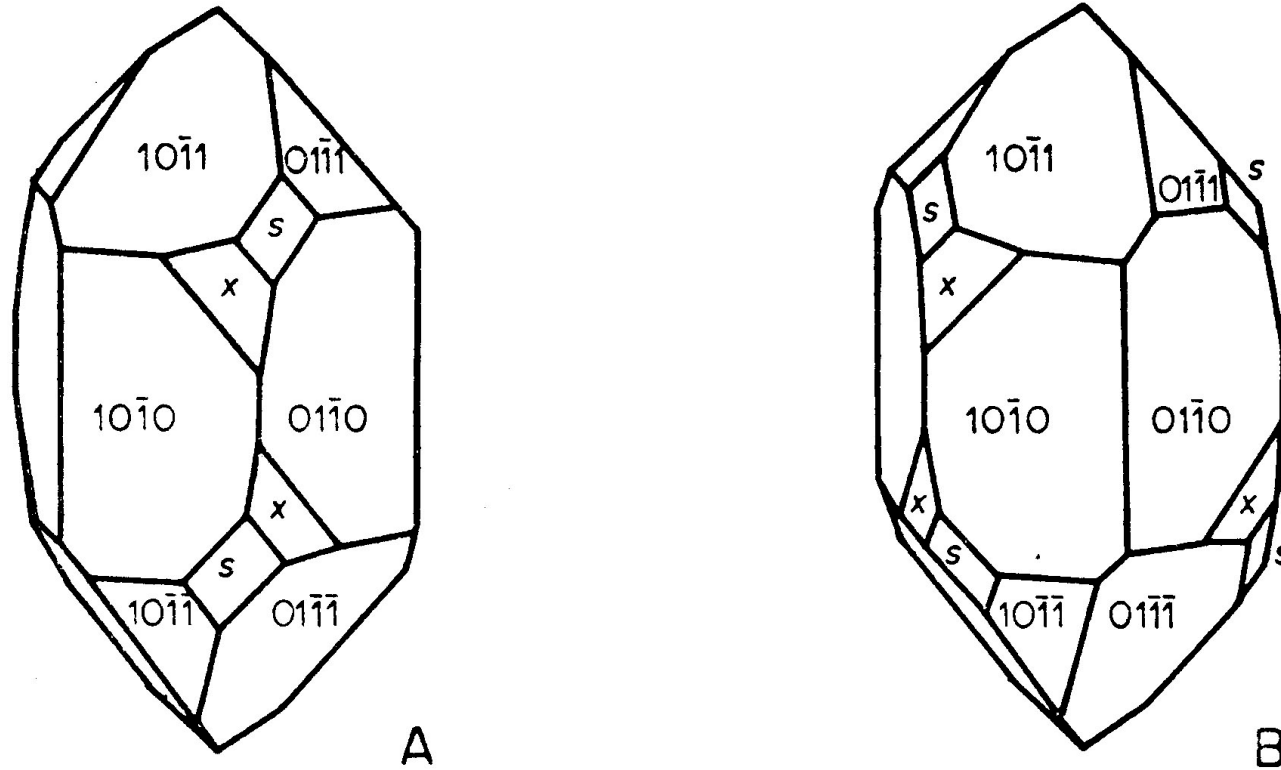
- ✓ ***dynamic recrystallisation***

- ***preservation / destruction of CPO & anisotropy?***

- ✓ ***dynamic recrystallisation***

- ✓ ***thermal and chemical processes***

## quartz



*Fig. 175* Right (A) and left handed (B) crystals of quartz. Forms present are hexagonal prism  $\{10\bar{1}0\}$ ; rhombohedra  $\{10\bar{1}1\}$  and  $\{01\bar{1}1\}$ ; trigonal bipyramid (s)  $\{11\bar{2}1\}$  (A) and  $\{2\bar{1}\bar{1}1\}$  (B) and the trigonal trapezohedra (x)  $\{51\bar{6}1\}$  (A) and  $\{6\bar{1}\bar{5}1\}$  (B).

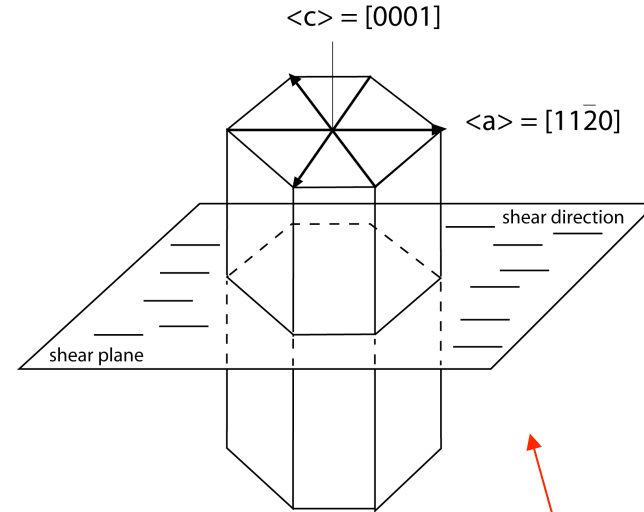
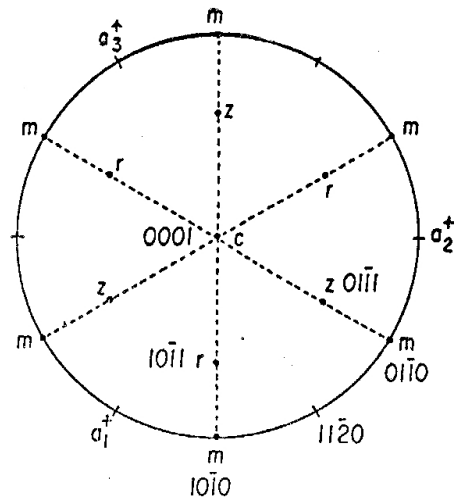
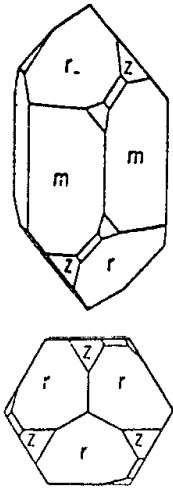


Table 5.5. Principal slip systems in  $\alpha$  and  $\beta$  quartz (+: common, -: uncommon)

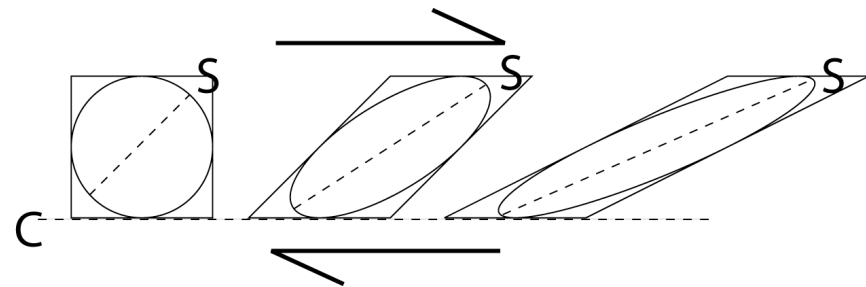
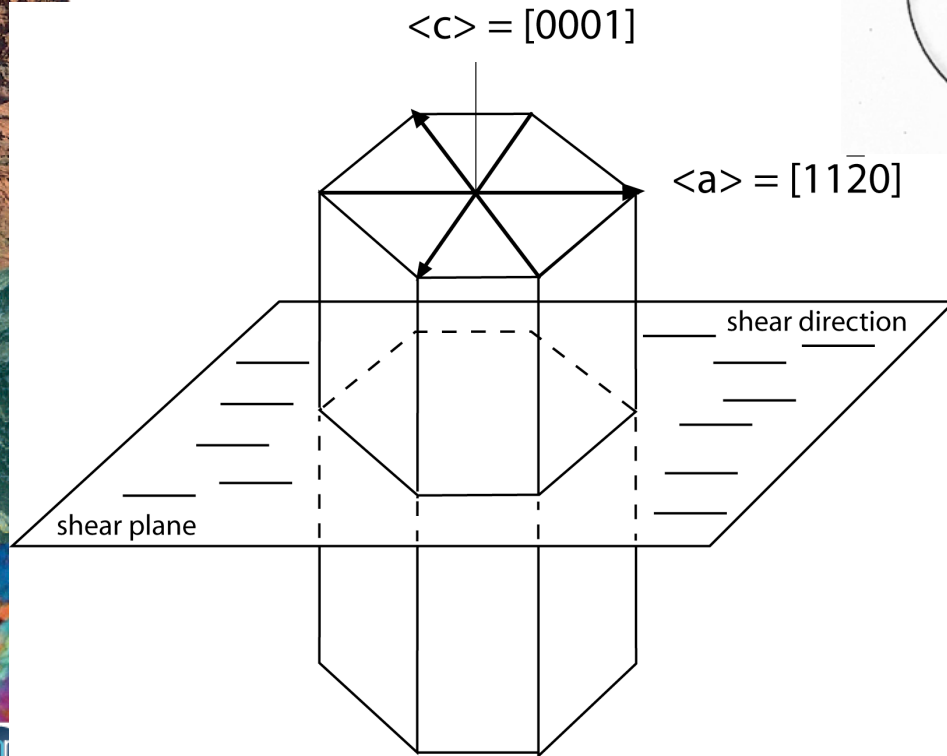
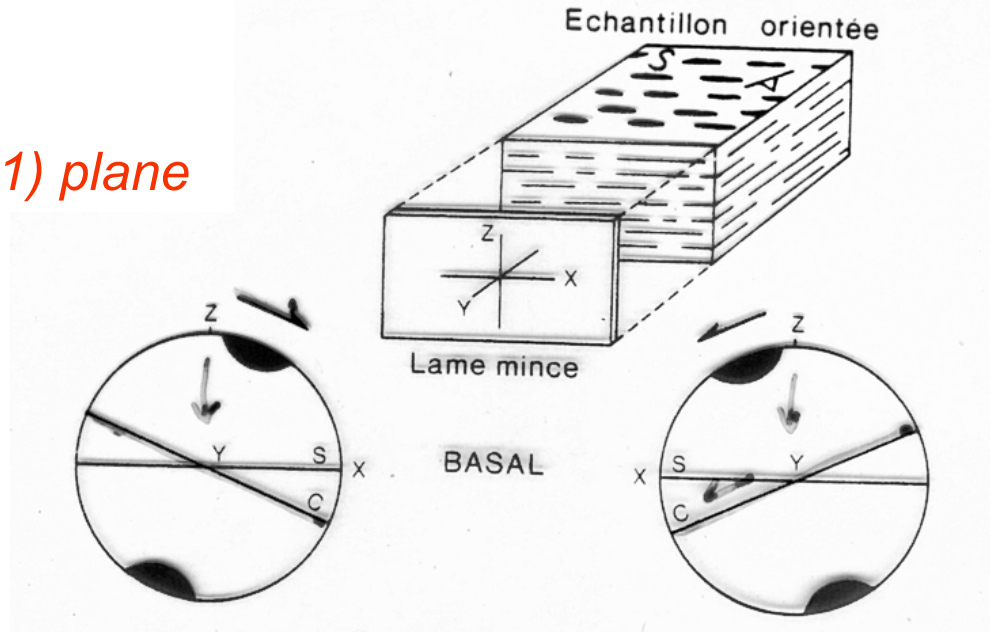
Basal	$(0001) \langle 11\bar{2}0 \rangle^+$	Low $T$ , high $\dot{\epsilon}$
1st ord. prismatic	$\{10\bar{1}0\} [0001]^+$	very High $T$ , low $\dot{\epsilon}$
	$\{10\bar{1}0\} \langle 1\bar{2}10 \rangle^+$	High $T$ , low $\dot{\epsilon}$
	$\{10\bar{1}0\} \langle 1\bar{2}13 \rangle^-$	High $T$ , low $\dot{\epsilon}$
2nd ord. prismatic	$\{11\bar{2}0\} [0001]^-$	very High $T$ , low $\dot{\epsilon}$
2nd ord. pyramidal	$\{11\bar{2}2\} \langle 11\bar{2}3 \rangle^-$	High $T$ , low $\dot{\epsilon}$



# Quartz

@ LT = basal glide

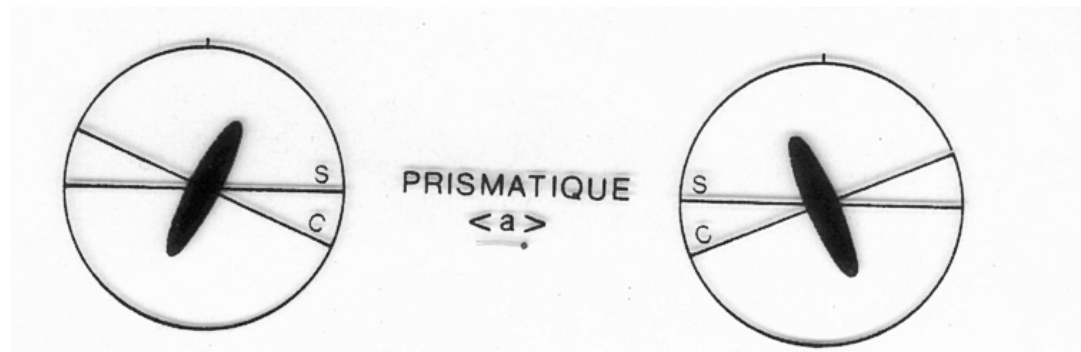
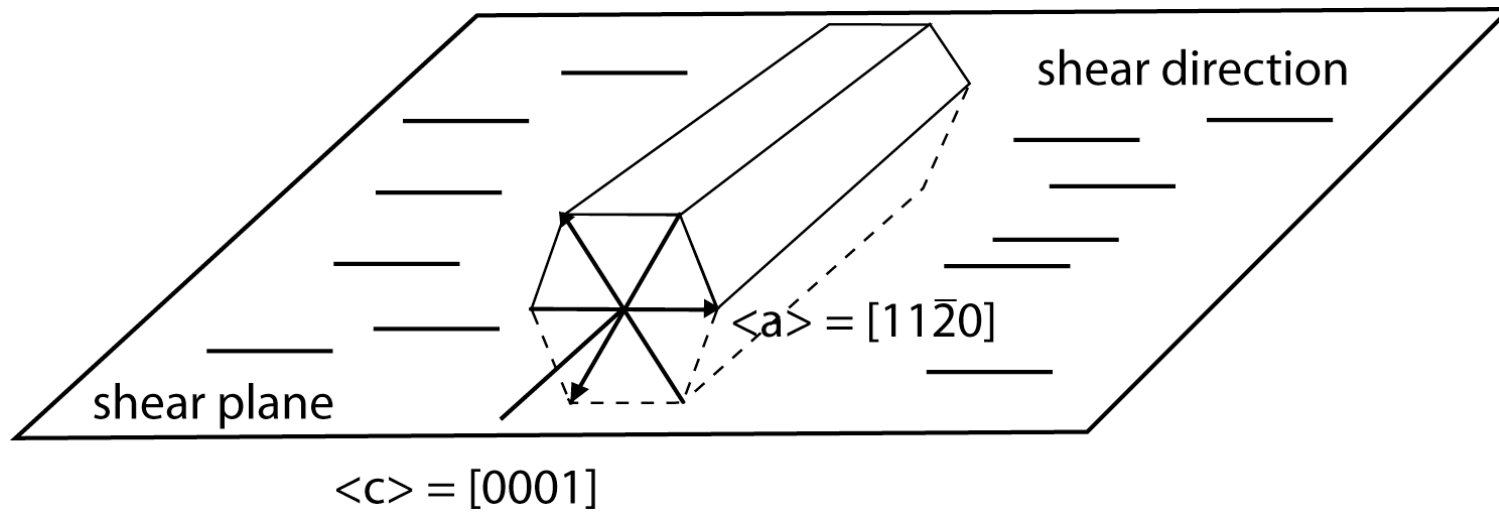
<a> dislocations move on the (0001) plane



# Quartz

@ MT = prismatic glide

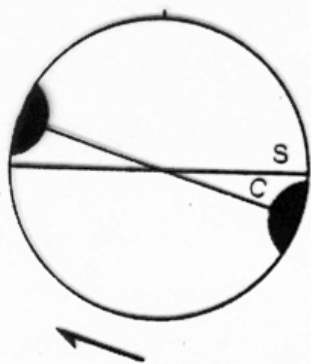
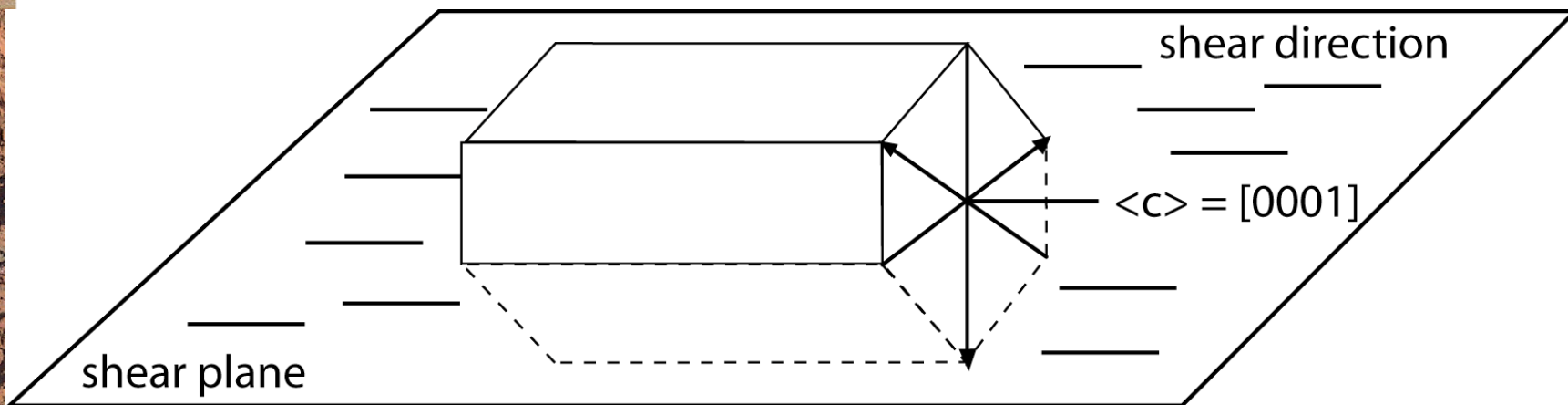
<a> dislocations move on the prism planes



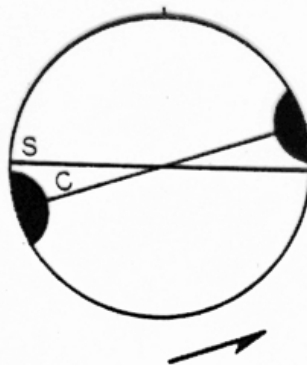
# Quartz

@ HT = prismatic glide

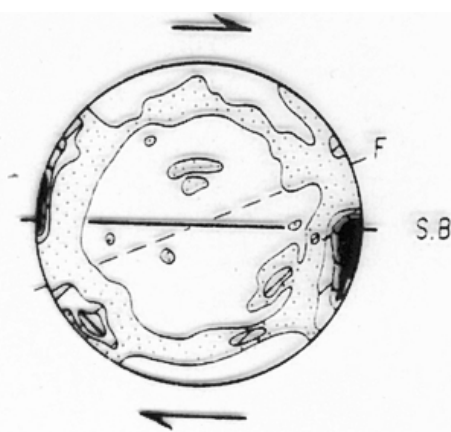
[0001] or <c> dislocations move on the prism planes



PRISMATIQUE  
[c]



GLISSEMENT DOMINANT

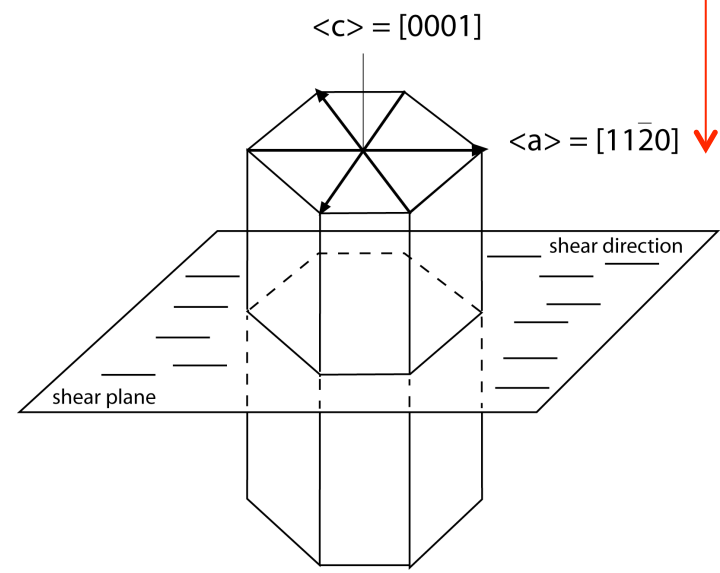
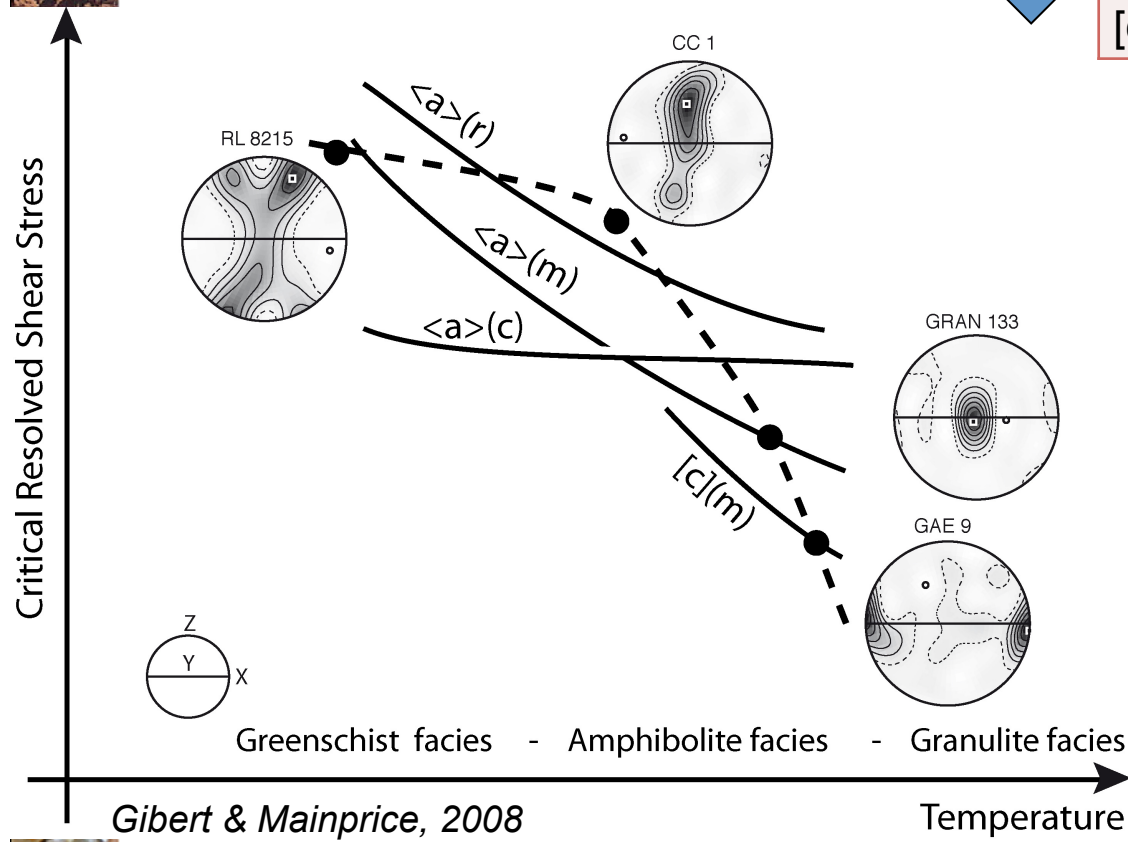




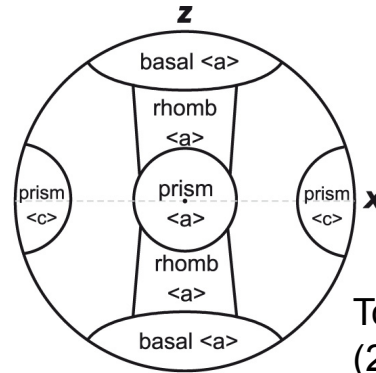
# Quartz slip systems

Family	Slip system
$\langle a \rangle (c)$	$[2-1-10](0001)$
$\langle a \rangle \{r\}$	$[2-1-10](0-111)$
$\langle a \rangle \{z\}$	$[-1-120](1-101)$
$\langle a \rangle \{\pi\}$	$[2-1-10](0-112)$
$\langle a \rangle \{\pi'\}$	$[-1-120](1-102)$
$\langle a \rangle \{m\}$	$[2-1-10](0-110)$
$[c]\{m\}$	$[0001](0-110)$
$[c]\{a\}$	$[0001](2-1-10)$

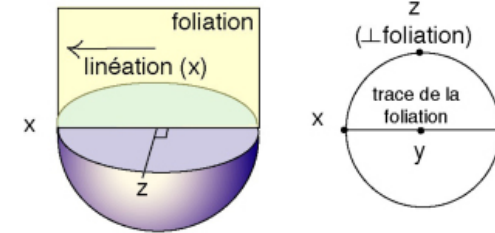
Increasing T(°C)



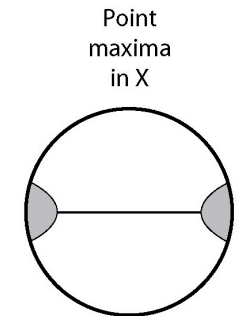
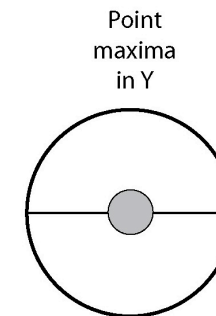
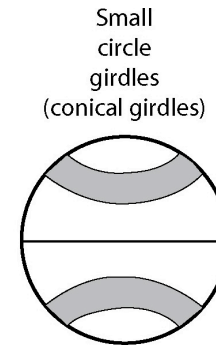
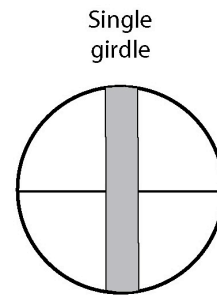
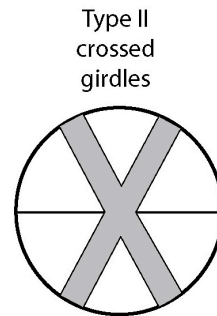
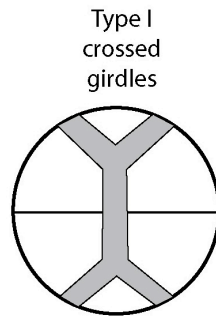
# Quartz CPO Common patterns



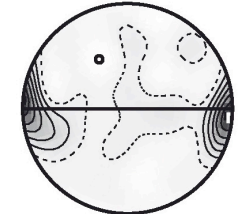
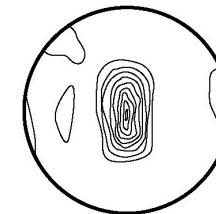
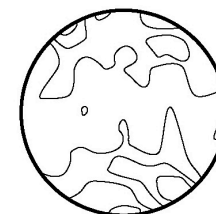
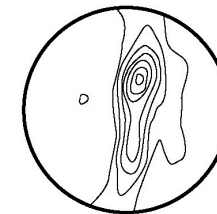
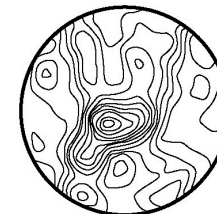
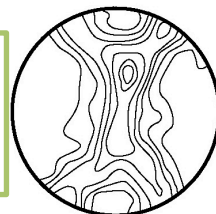
Toy et al.  
(2008)



Quartz  
CPO  
fabric  
skeletons



CPOs of Schmid &  
Casey (1986) and  
Gibert & Mainprice  
(2008)



metamorphic  
facies conditions  
for CPO development

greenishist

greenishist

greenishist

amphibolite/granulite

amphibolite/granulite

granulite/subsolidus

rock-type

quartz tectonite

qtz-feldspar  
rocks

quartz  
vein

thin quartz ribbons  
in granulite

quartz ribbons

quartz-rich  
granulite

microestructuras

"core-and-mantle"  
microstructure

"core-and-mantle"  
microstructure with very  
flattened crystals

variation between  
elongated and  
recrystallized  
quartz

syntectonically  
recrystallized quartz with  
thin ribbons, only one grain  
broad

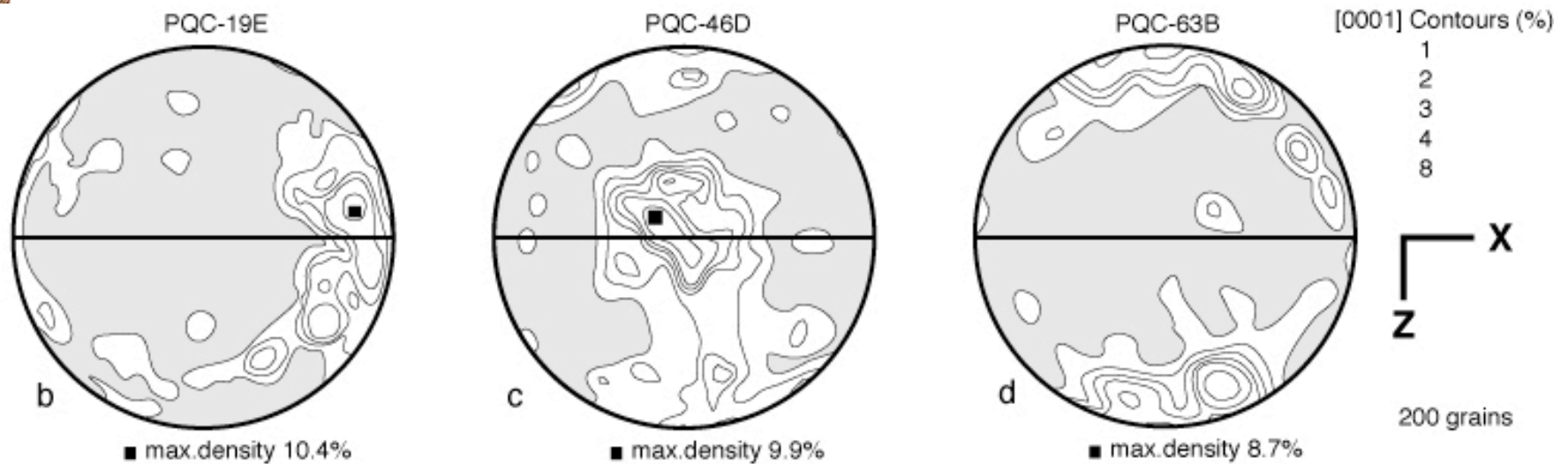
syntectonically  
recrystallized quartz with  
thin ribbons, only one grain  
broad



# Quartz

*Dominant slip system changes with deformation T*

*CPO measured in a synkinematic granite emplaced in the middle crust*

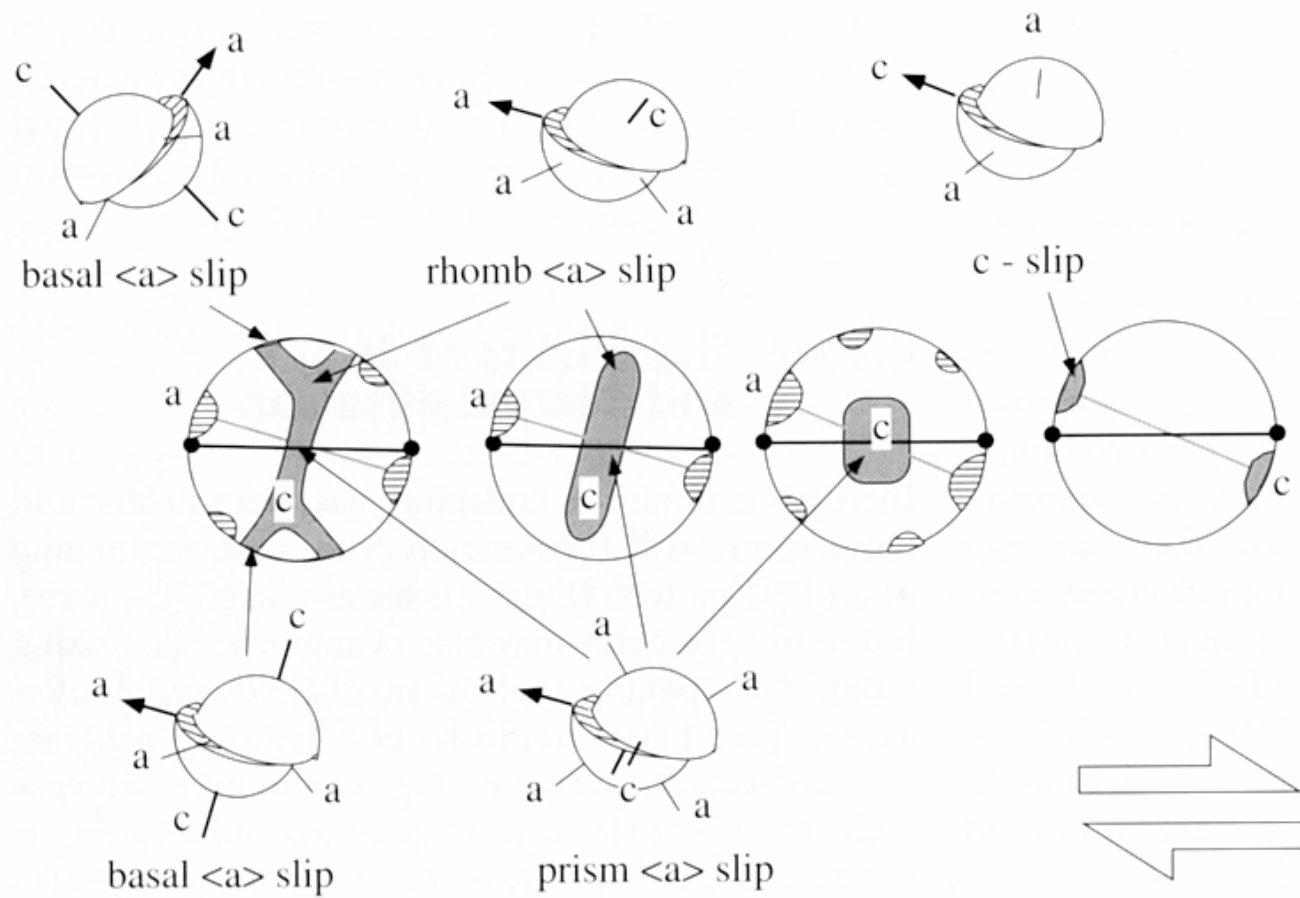
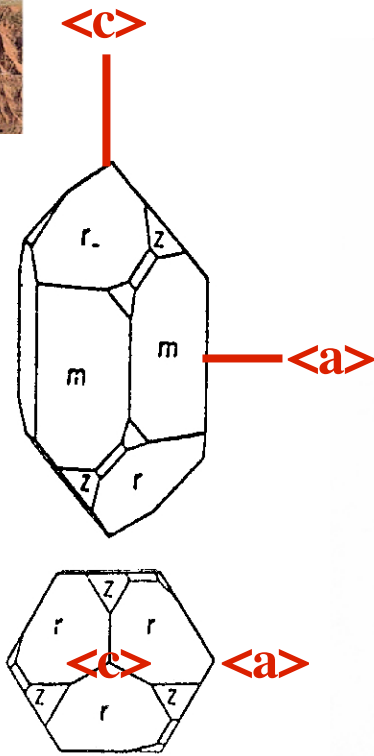


*decreasing temperature* →

*progressive strain localization*

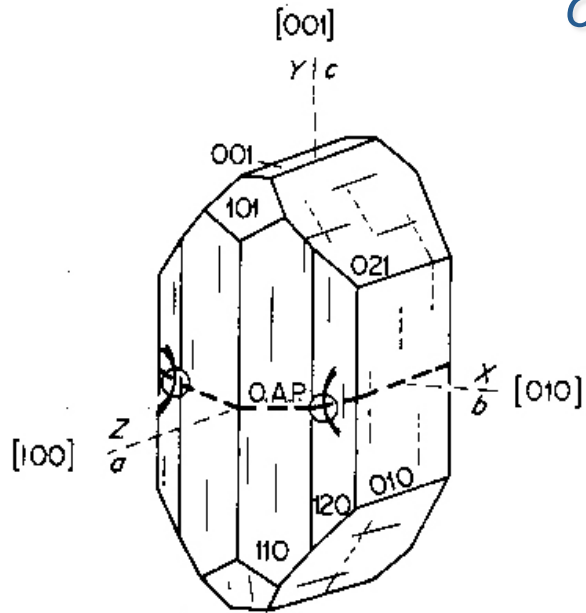
# Quartz

Correlation between the orientation of  $\langle c \rangle$  &  $\langle a \rangle$  axes

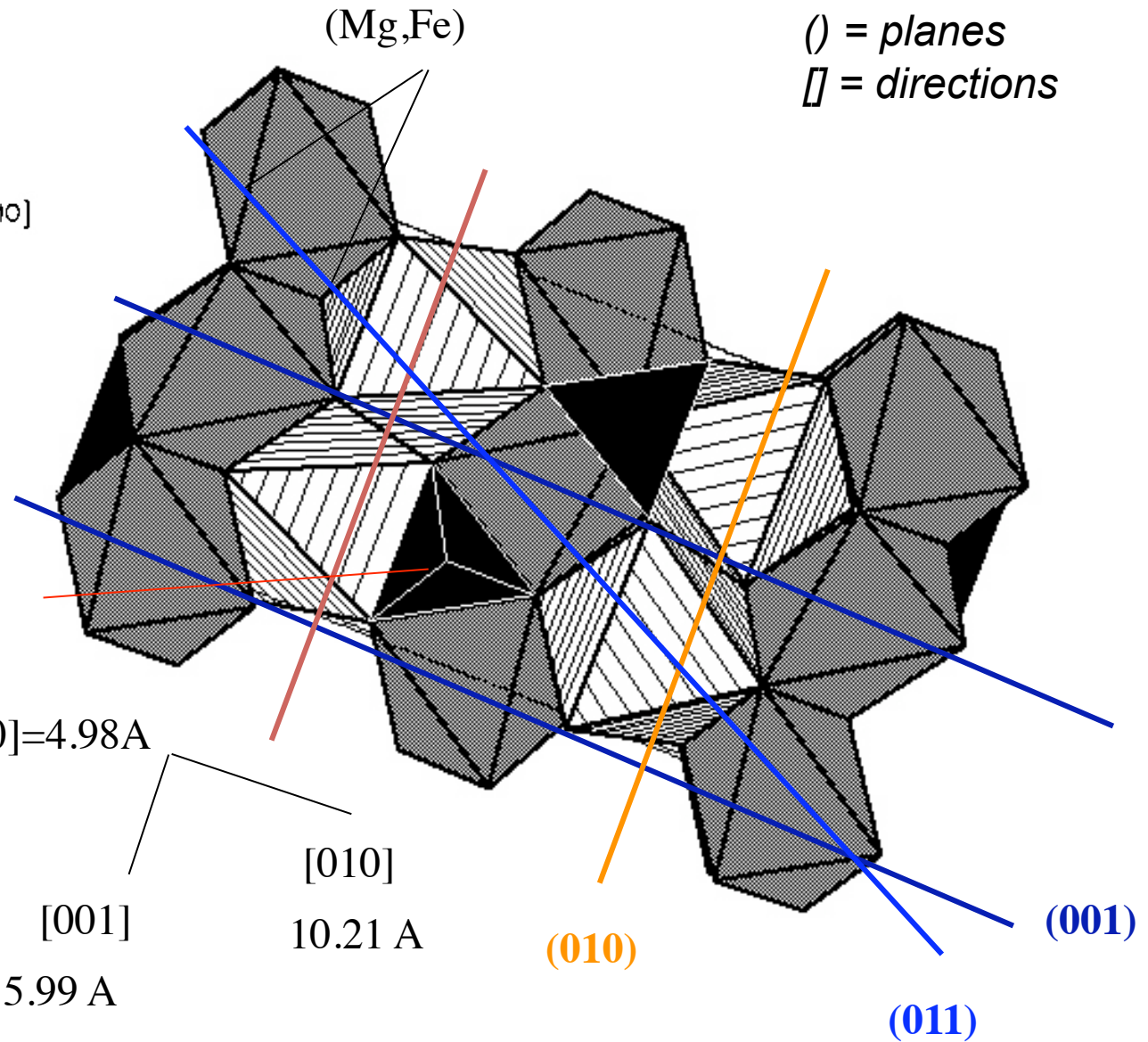




# olivine crystal seen along the [100] direction



() = planes  
[] = directions



**SiO<sub>2</sub> tetraedra**  
Covalent bonds  
= strong!

[100]=4.98Å

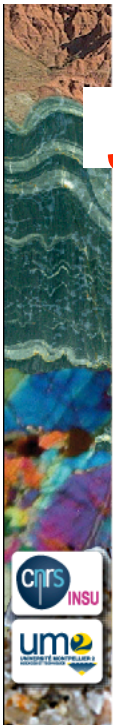
[010]  
10.21 Å

[001]  
5.99 Å

(010)

(011)

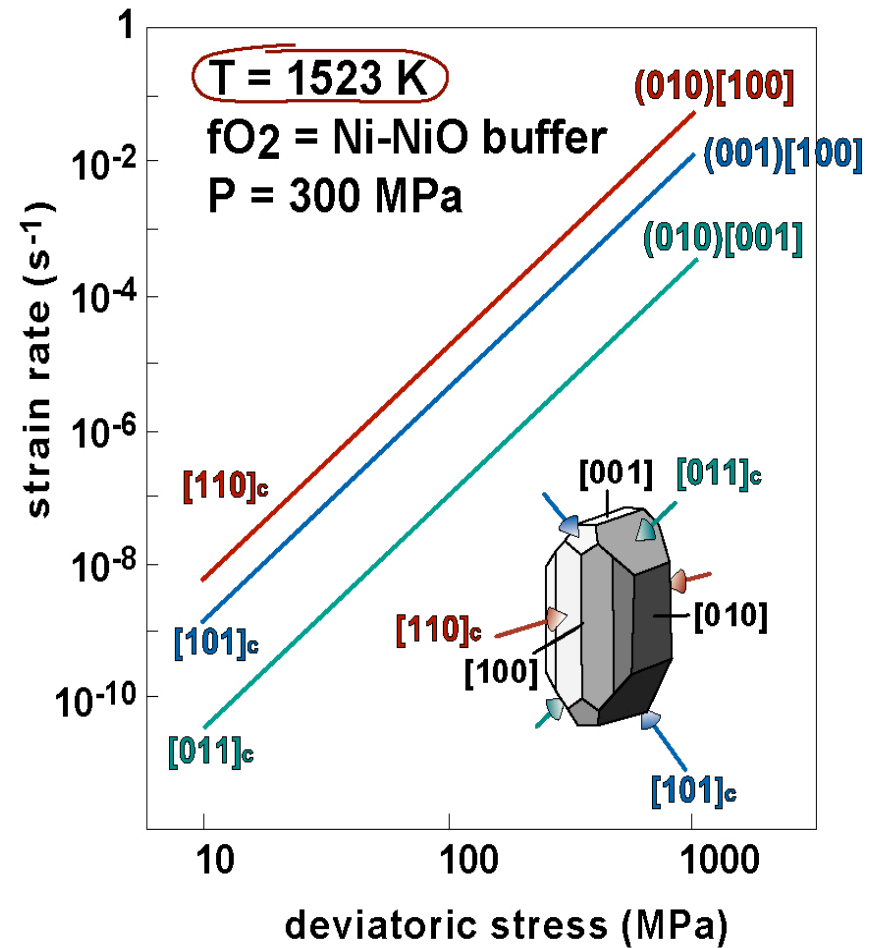
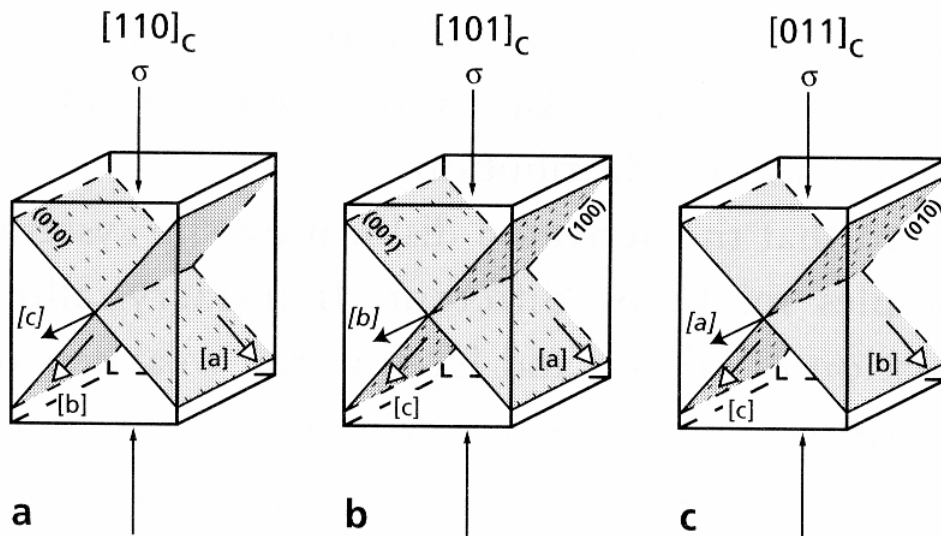
(001)



How can we determine the active slip systems and their relative strength?

Experimental deformation  
of single crystals in  $\neq$  orientations:

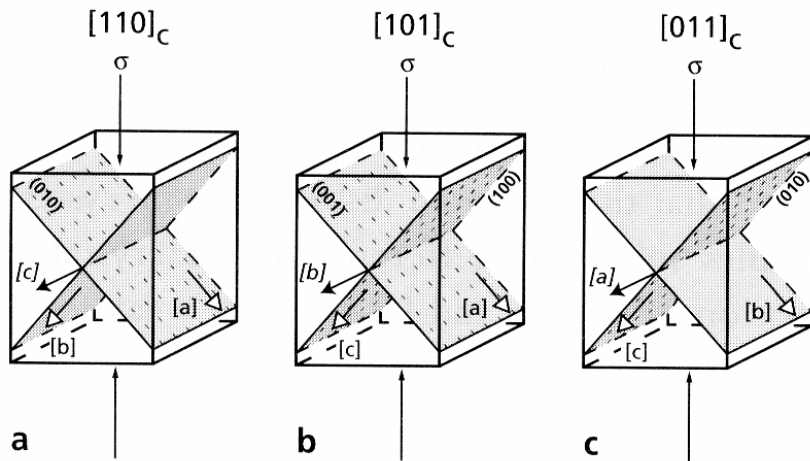
olivine



Bai et al. 1990-JGR

Olivine CPO =  $f(\text{active slip systems}) = f(T, \text{stress})$

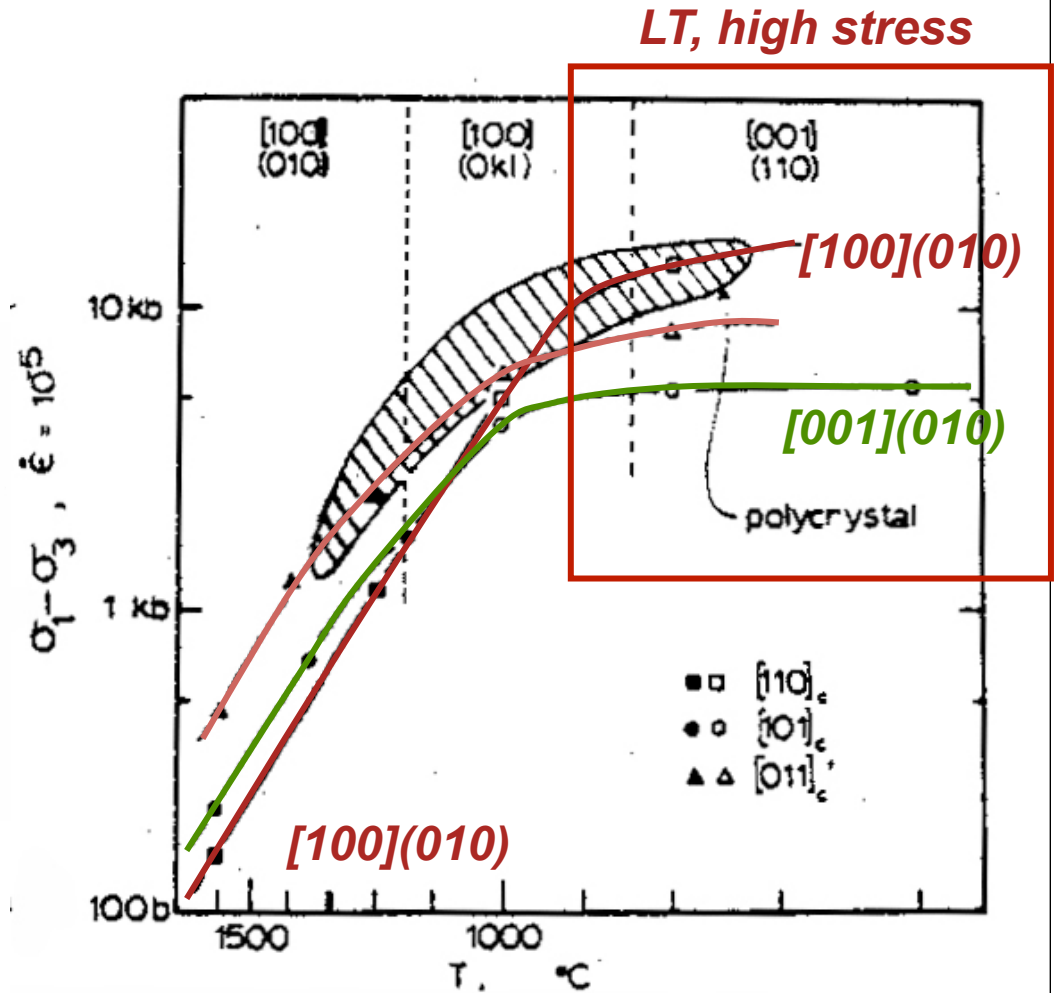
deformation experiments  
olivine single crystal  
in different orientations



$[100](010)$

$[100](001)$   
 $[001](100)$

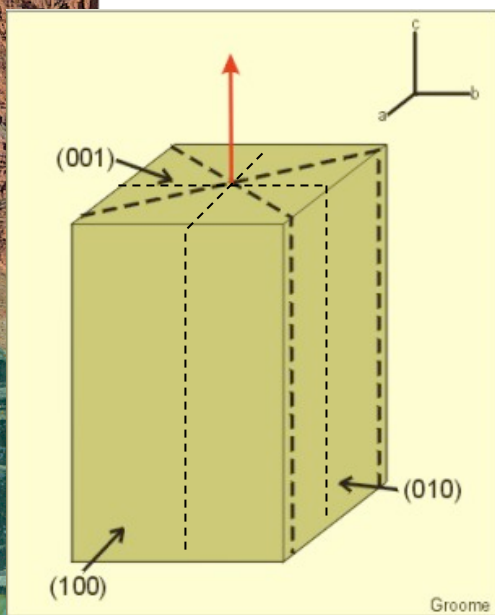
$[001](010)$



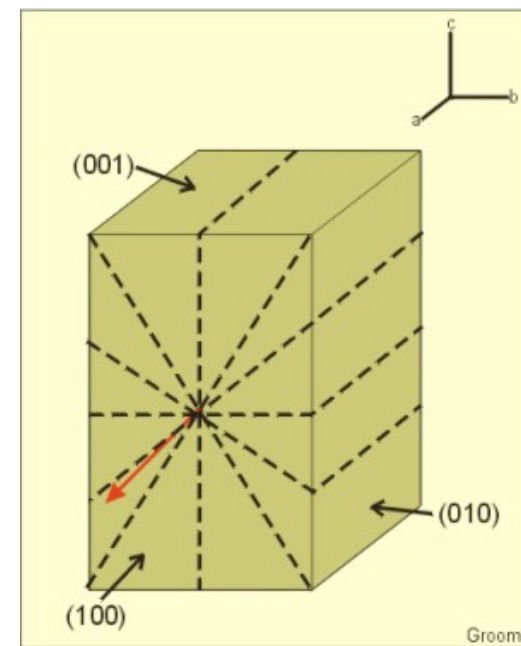
Durham & Goetze JGR 1977

## Dominant slip system changes with deformation temperature

### Olivine - LT

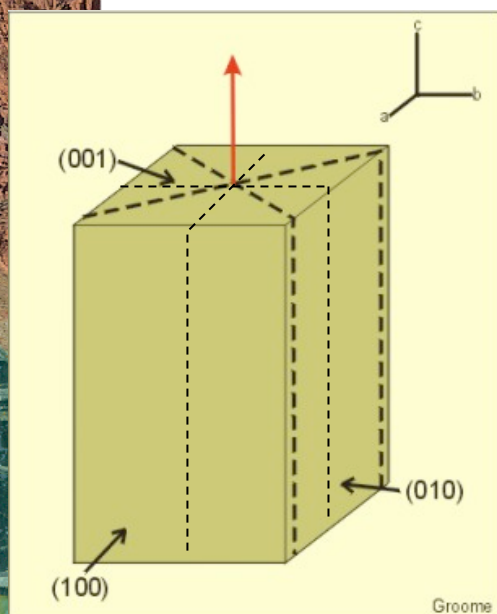


BT ( $<1000^{\circ}\text{C}$ )	HT
(010)[100]	<b>(010)[100]</b>
(001)[100]	<b>(001)[100]</b>
{011}[100]	<b>{011}[100]</b>
{031}[100]	<b>{031}[100]</b>
<b>(010)[001]</b>	(010)[001]
<b>(100)[001]</b>	(100)[001]
<b>{110}[001]</b>	{110}[001]

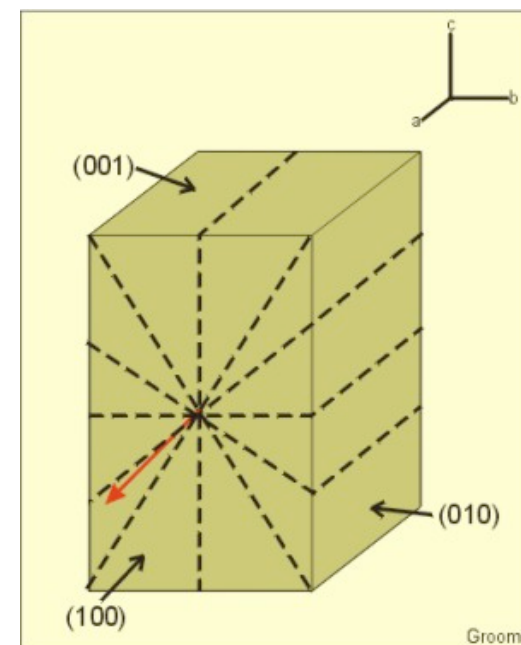


## Dominant slip system changes with deformation temperature

### Olivine - HT

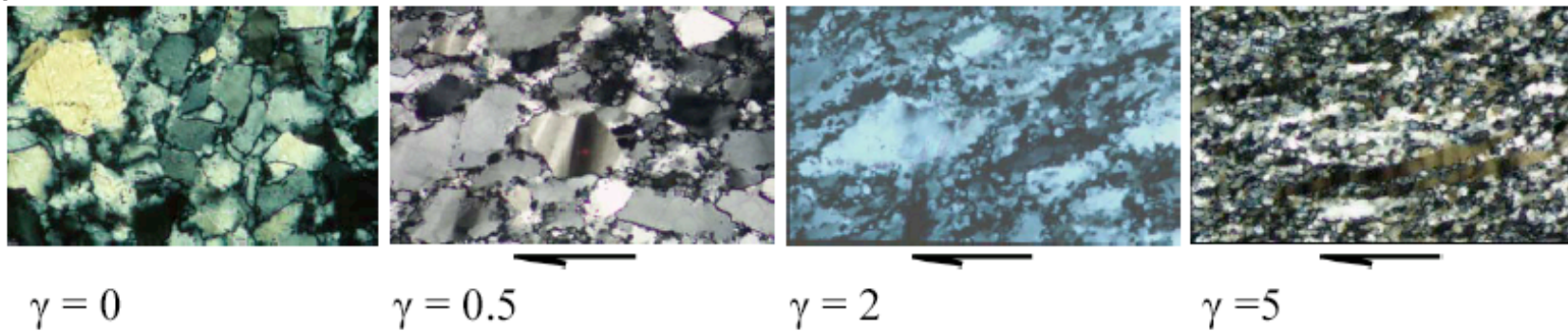
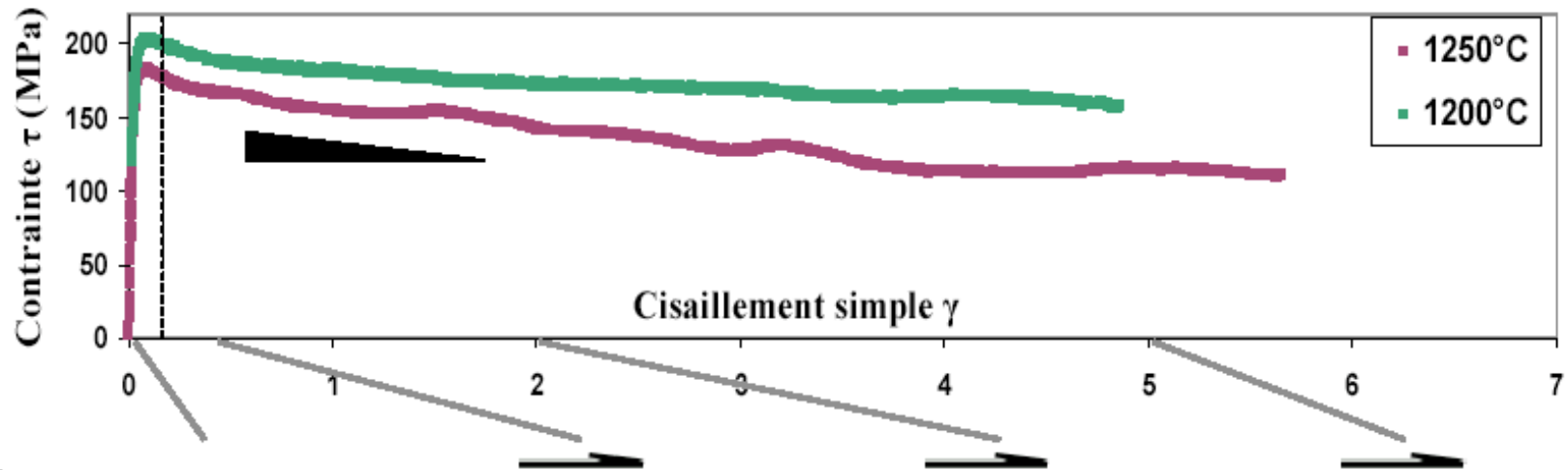
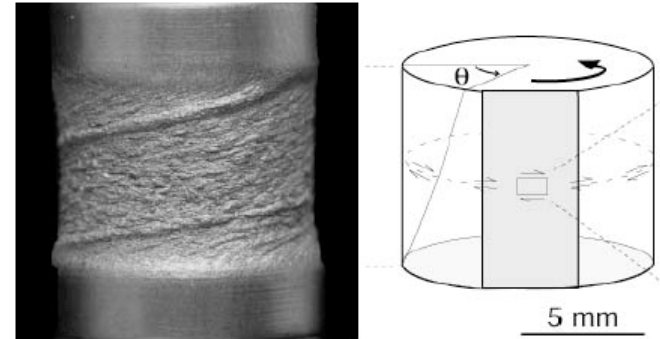


BT ( $<1000^{\circ}\text{C}$ )	HT
(010)[100]	<b>(010)[100]</b>
(001)[100]	<b>(001)[100]</b>
{011}[100]	<b>{011}[100]</b>
{031}[100]	<b>{031}[100]</b>
<b>(010)[001]</b>	(010)[001]
<b>(100)[001]</b>	(100)[001]
<b>{110}[001]</b>	{110}[001]



**Torsion experiments:**

- **high shear strains**
- **CPO evolution = F(strain)**



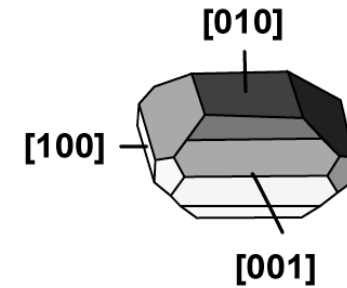
$\gamma = 0$

$\gamma = 0.5$

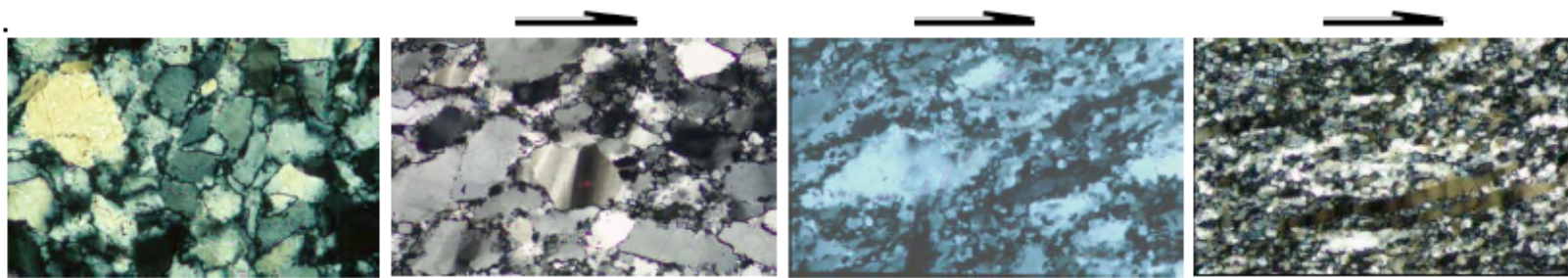
$\gamma = 2$

$\gamma = 5$

## Torsion experiments - high shear strains CPO evolution as a function of strain



**[100] // shear direction & [010] normal to shear plane**

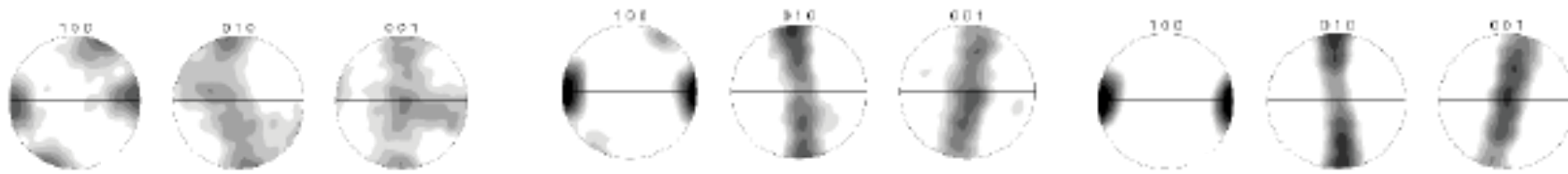


$\gamma = 0$

$\gamma = 0.5$

$\gamma = 2$

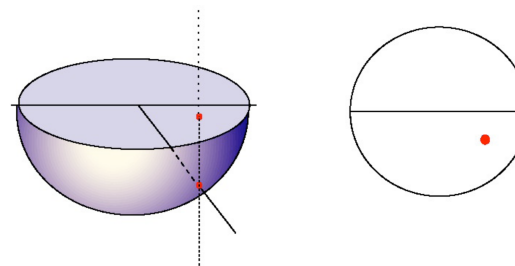
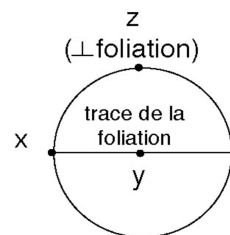
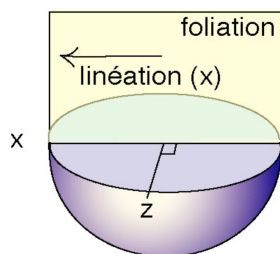
$\gamma = 5$

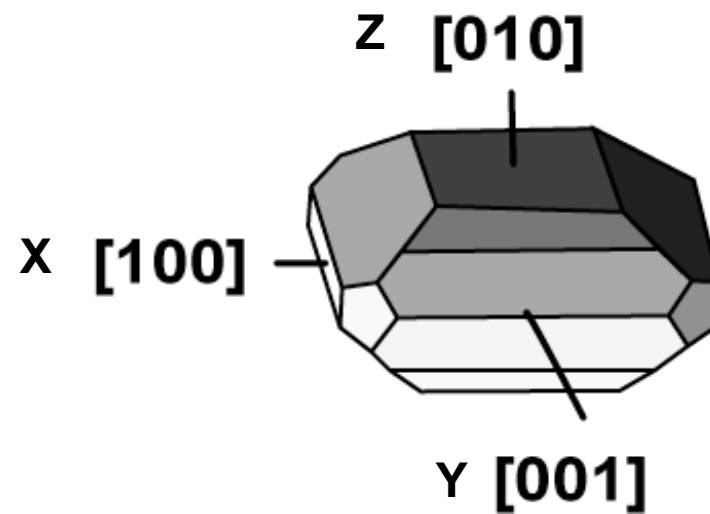
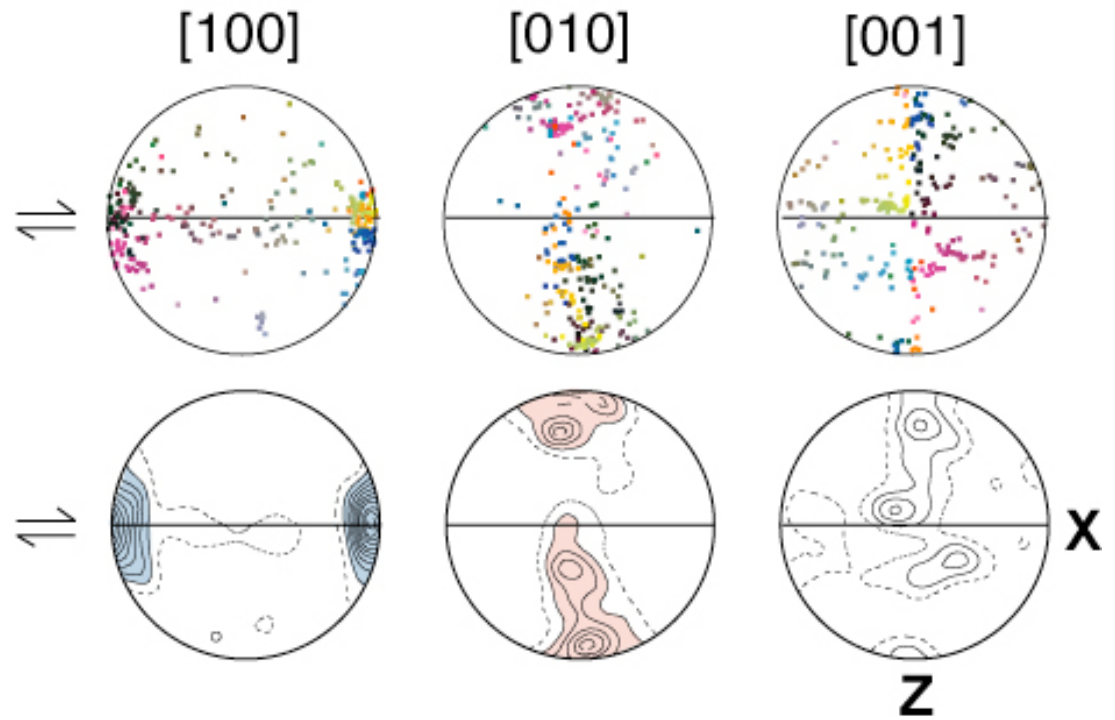
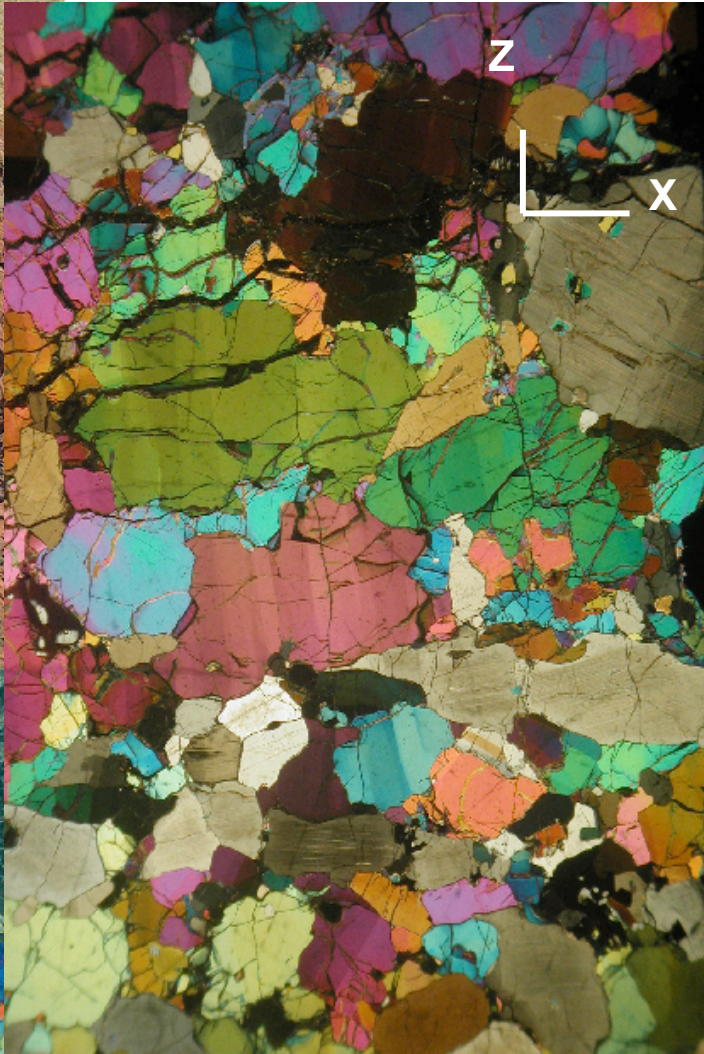


$\gamma = 1 - 2$

$\gamma = 3 - 4$

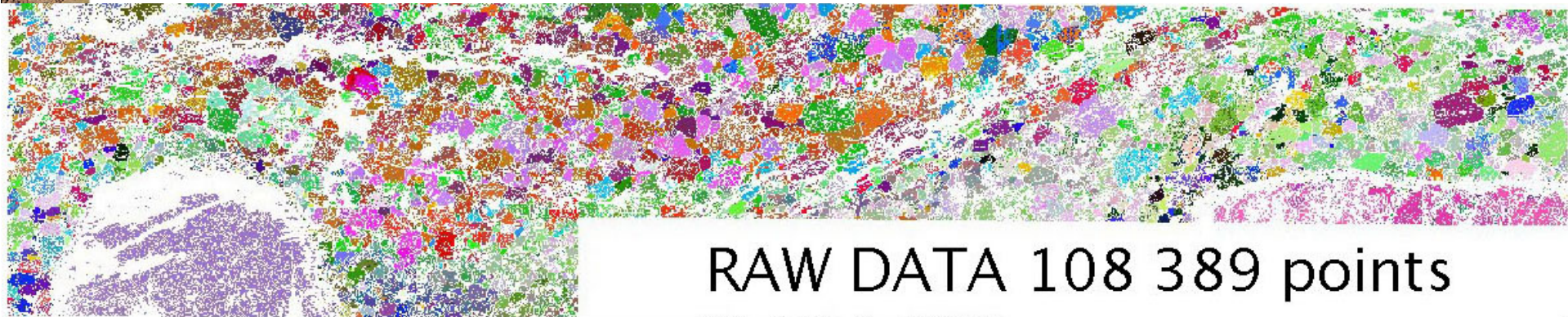
$\gamma = 6 - 7$





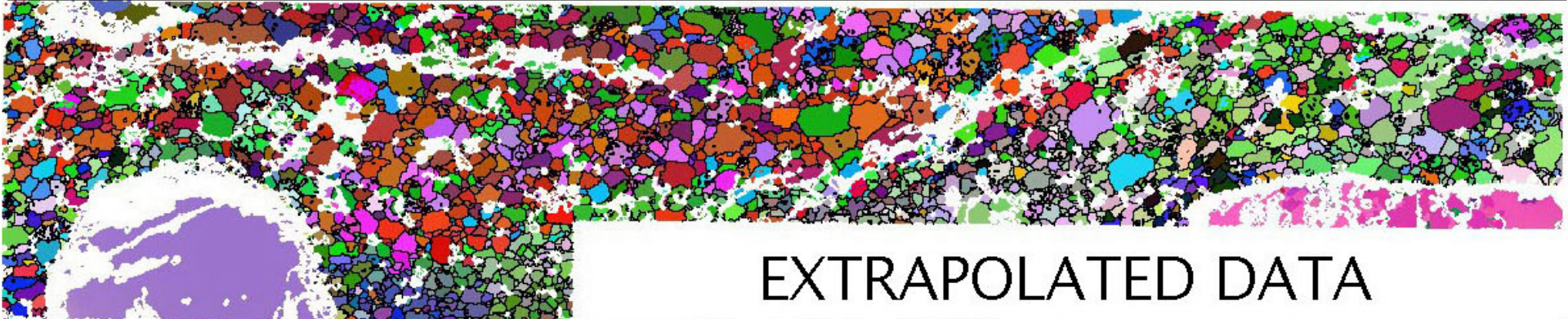
*Iherzolite, Tahiti*  
*T &  $\sigma$  conditions?*





RAW DATA 108 389 points

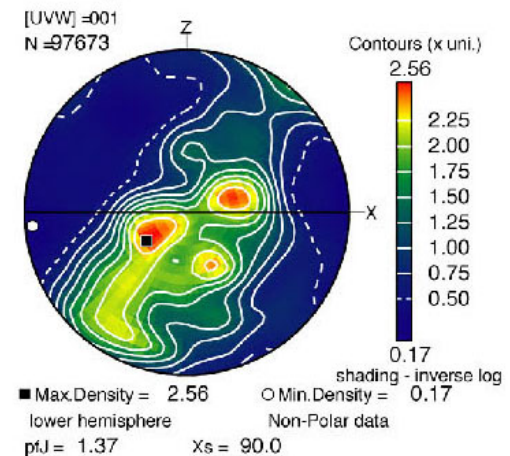
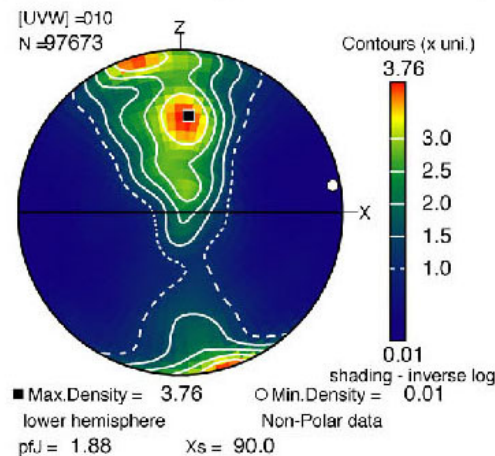
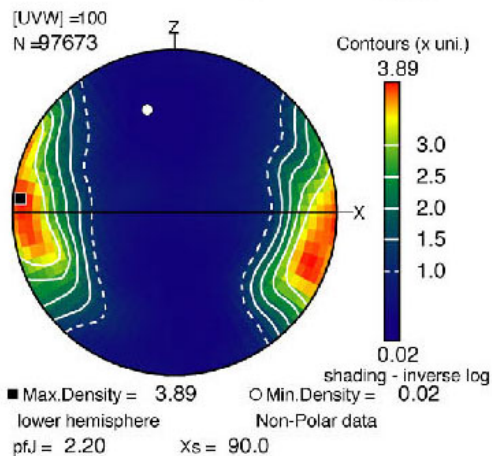
=7500  $\mu\text{m}$ ; Map1; Step=15  $\mu\text{m}$ ; Grid1140x236



EXTRAPOLATED DATA

=7500  $\mu\text{m}$ ; Map7; Step=15  $\mu\text{m}$ ; Grid1140x236

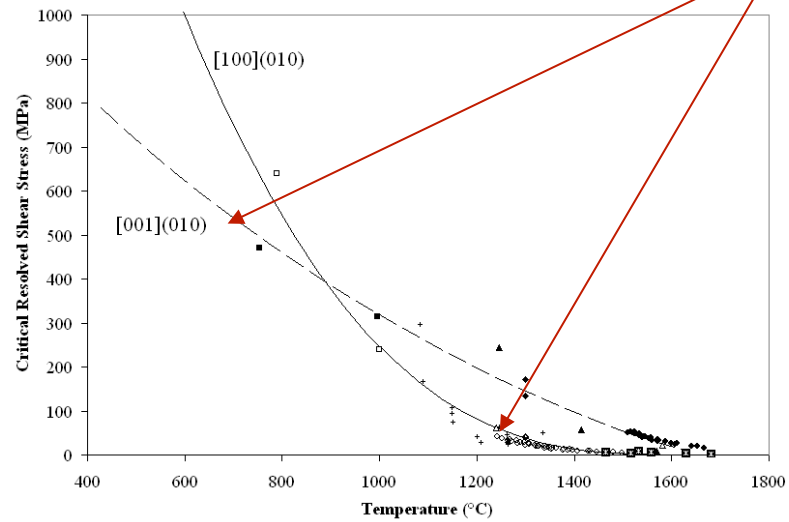
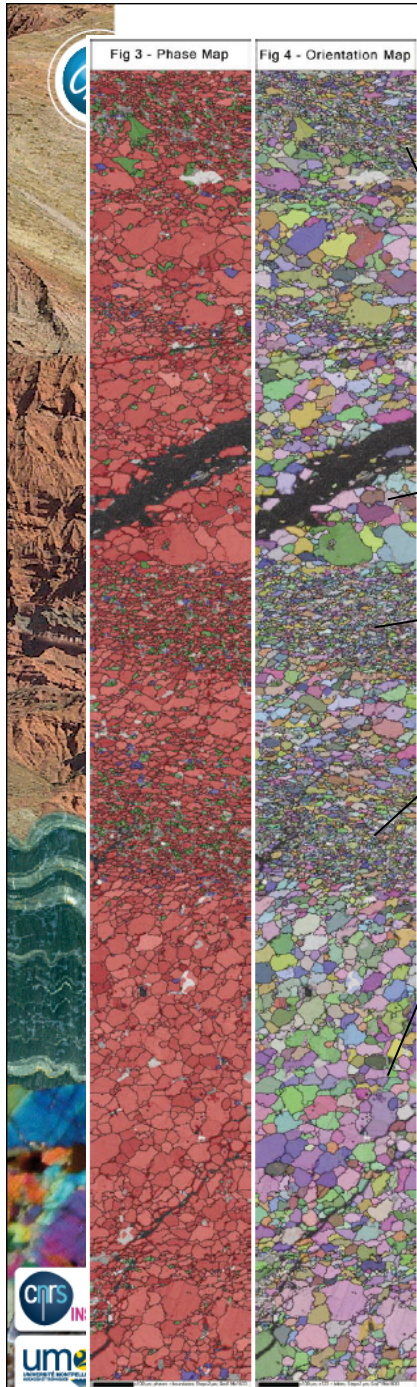
### [100],[010] & [001] Olivine pole figures



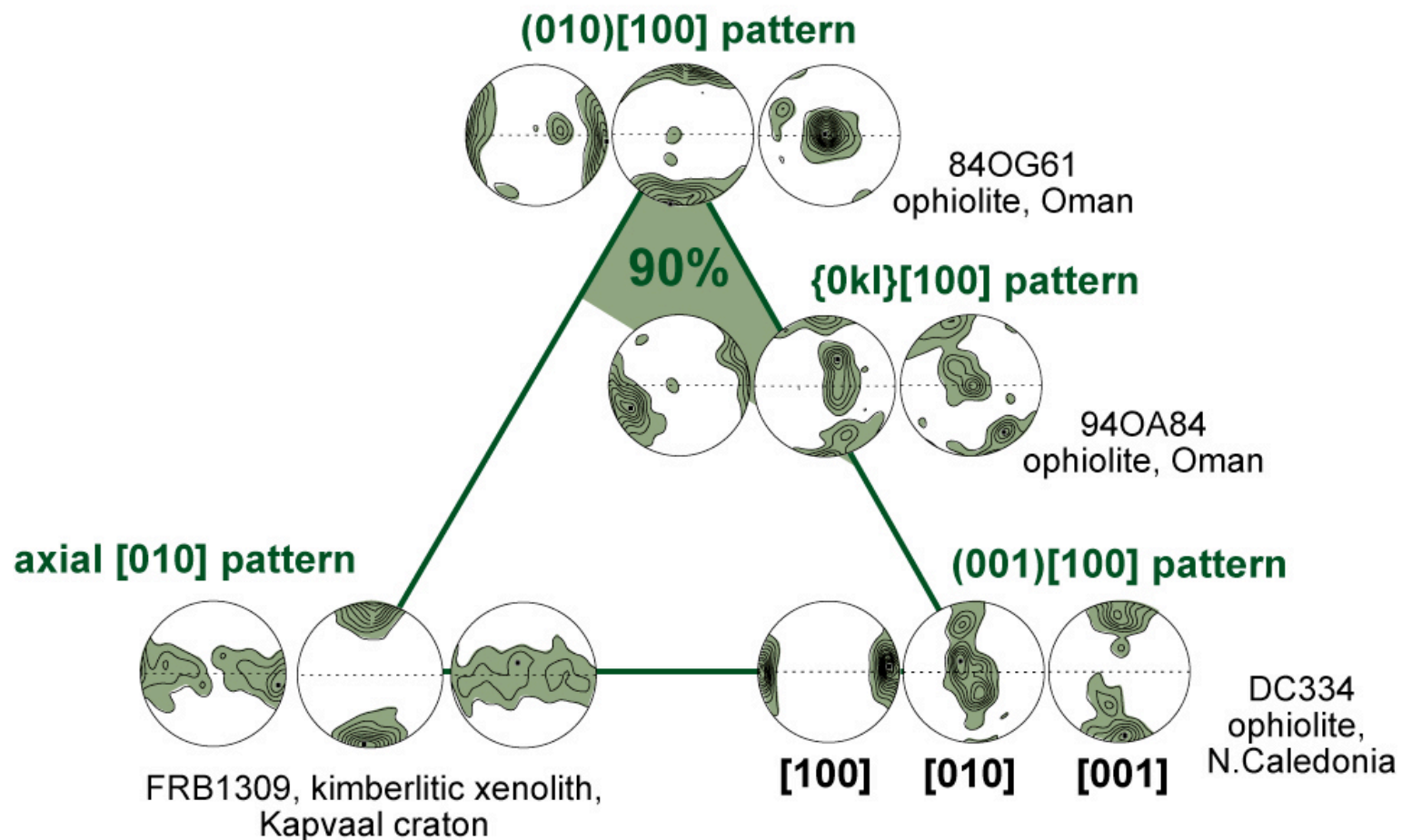
# Olivine CPO in a low temperature, high-stress mylonite

Oman

[100](010)



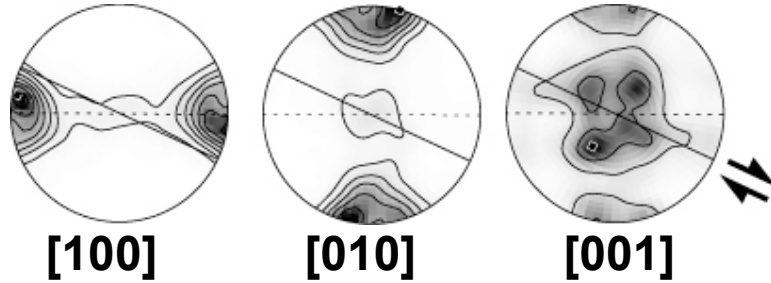
# olivine database: 3 textural >200 samples end-members



✓ dominant [100] slip

# Olivine CPO:

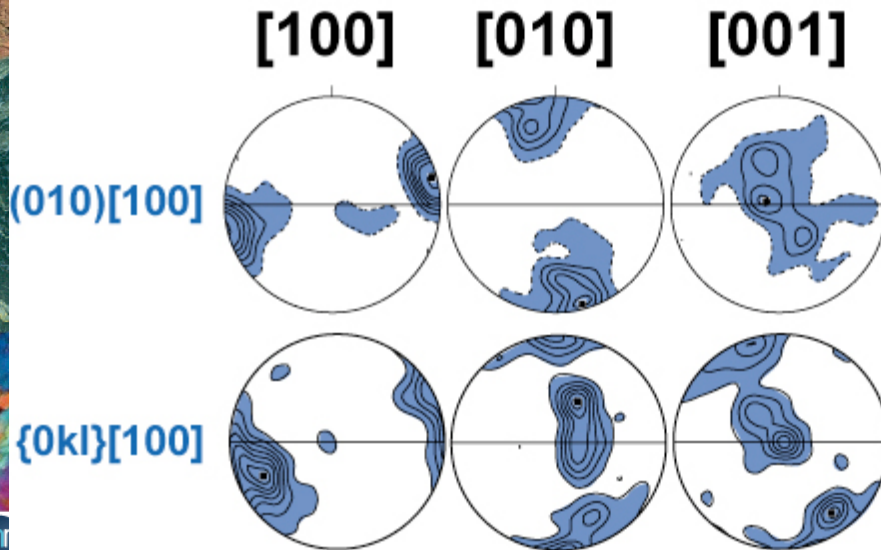
## Polycrystal plasticity models:



## Naturally deformed peridotites:

olivine CPO database (Montpellier): >300 samples

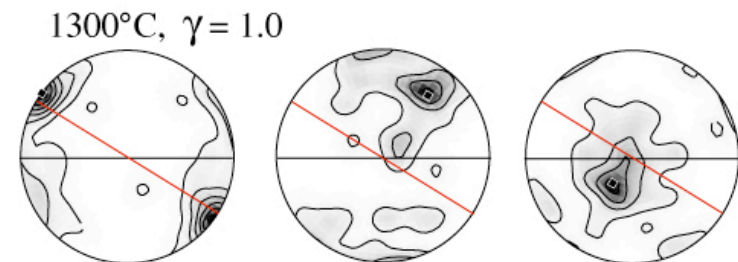
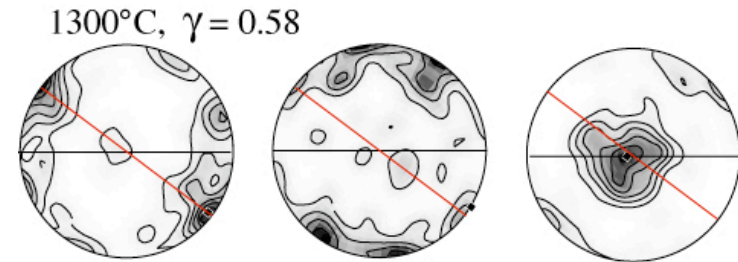
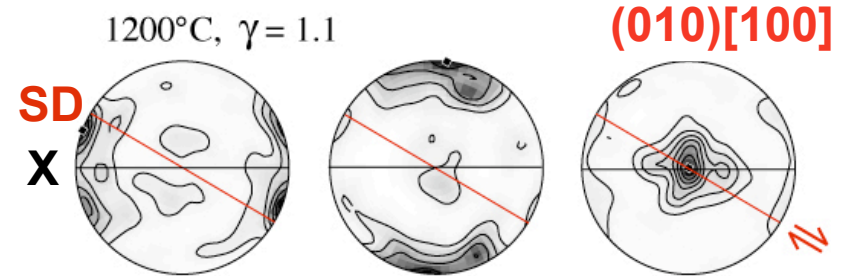
~ 80% of the samples



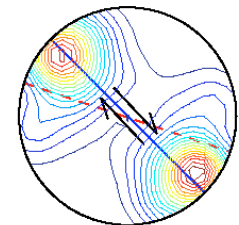
CPO/SPO asymmetry: simple shear

## Experimental deformation: simple shear

Zhang & Karato (1995) Nature



dynamic recrystallization: faster reorientation of [100] // SD

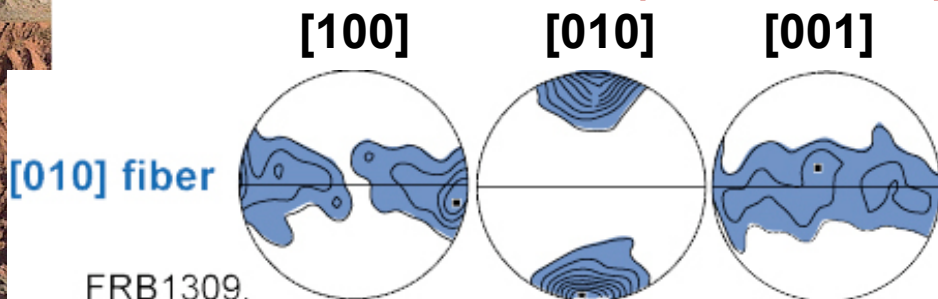


Kaminski & Ribe 2001 EPSL

## Naturally deformed peridotites

olivine LPO database (Montpellier): >300 samples

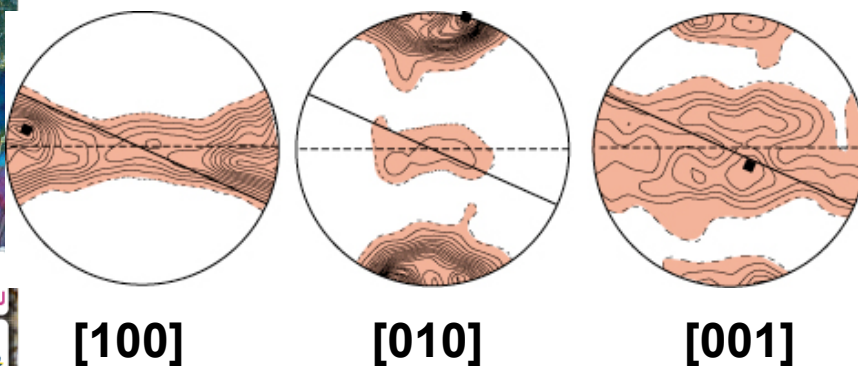
20-30% of the samples (continental)



FRB1309,  
kimberlitic xenolith,  
Kapvaal craton

axial compression  
or transpression

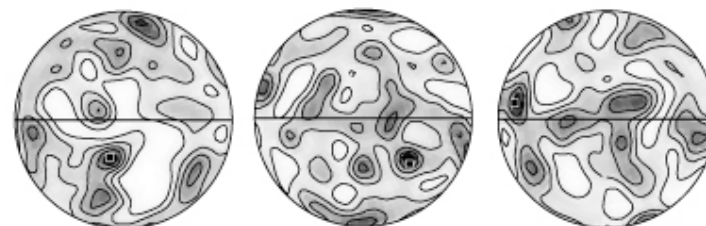
Polycrystal plasticity models:  
transpression



## Experimental deformation: axial compression

Nicolas et al. (1973) Am. J. Sci.

initial LPO



$\epsilon_{eq} = 0.58$  - porphyroclasts



$\epsilon_{eq} = 0.58$  - recrystallized grains



[100] [010] [001]

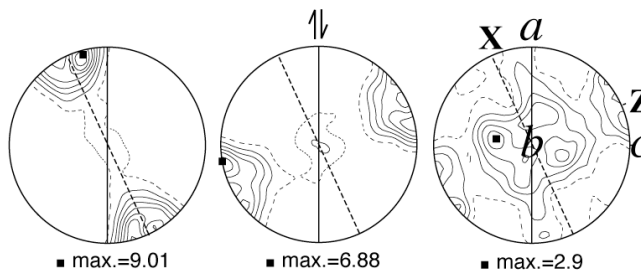
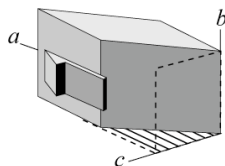
deformation regime

[100]

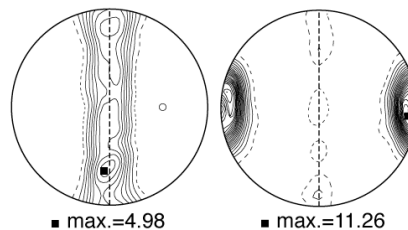
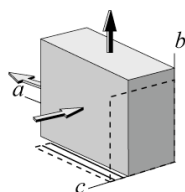
[010]

[001]

simple shear



axial shortening



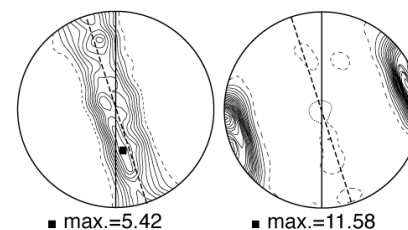
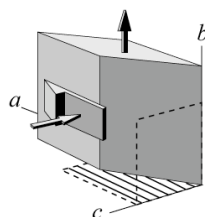
**Experimental deformation:  
simple shear**

Zhang & Karato (1995), Nature

1200°C,  $\gamma = 1.1$

(010)[100]

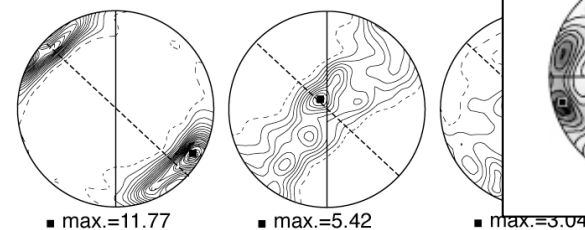
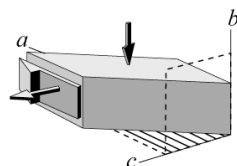
transpression



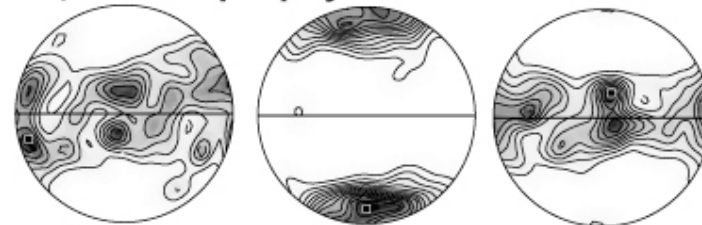
**Experimental deformation:  
axial compression**

Nicolas et al. (1973) Am. J. Sci.

transtension

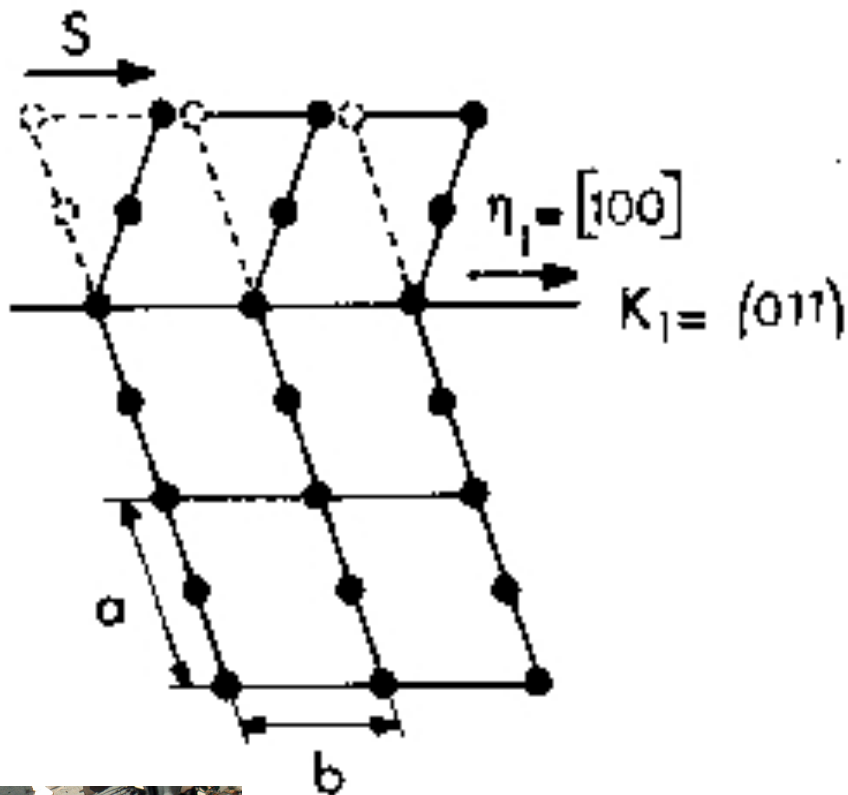


$\epsilon_{eq} = 0.58$  - porphyroclasts

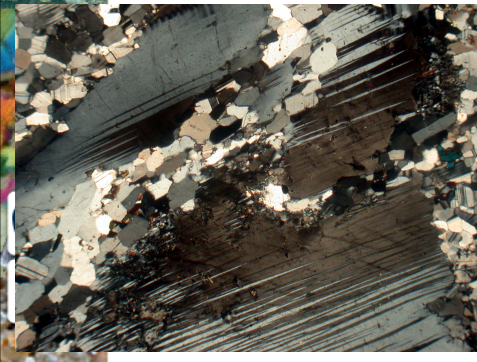
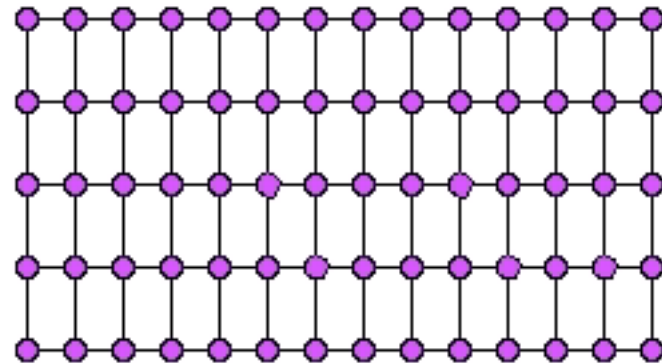


*Processes other than dislocation  
creep may also form or modify a  
CPO?*

# Mechanical twinning



*Twinning = shear along a pre-defined crystallographic plane*  
✓ *may be activated @ LT*  
✓ *limited strain*



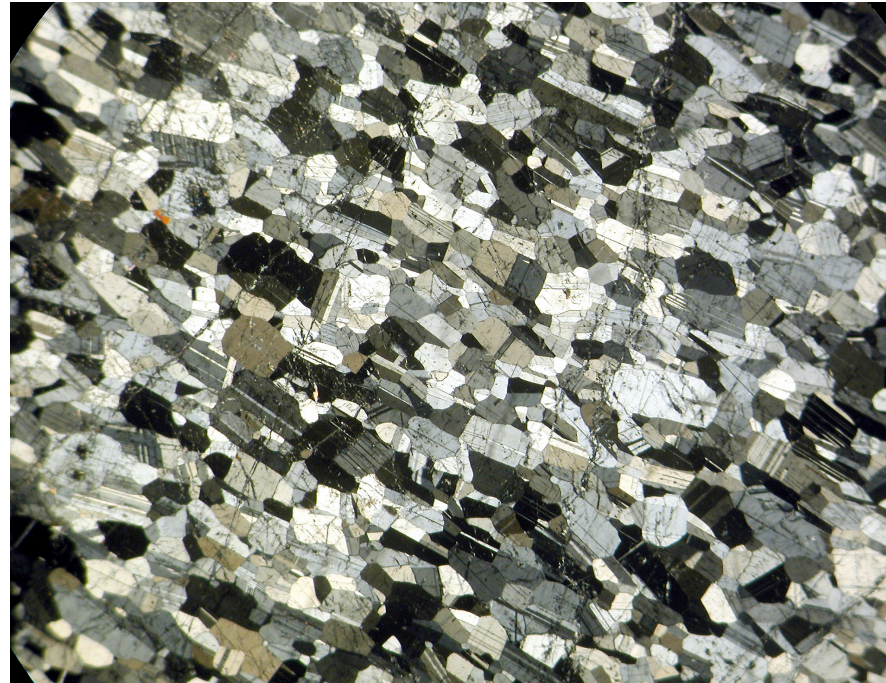
- Feldspars
- Calcite
- Diopside...



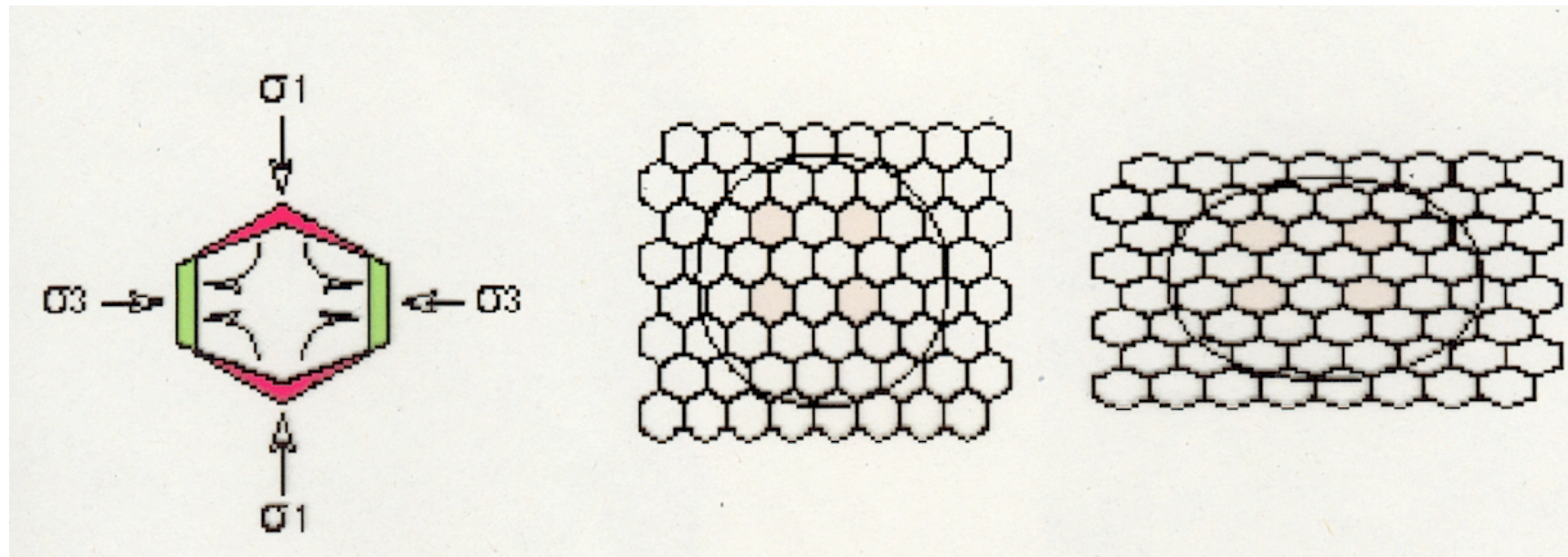
## *Mechanical twinning & CPO: switch between 2 crystal orientations*



*Magmatic flow:  
Deformation of a  
partially  
crystallized  
magma*



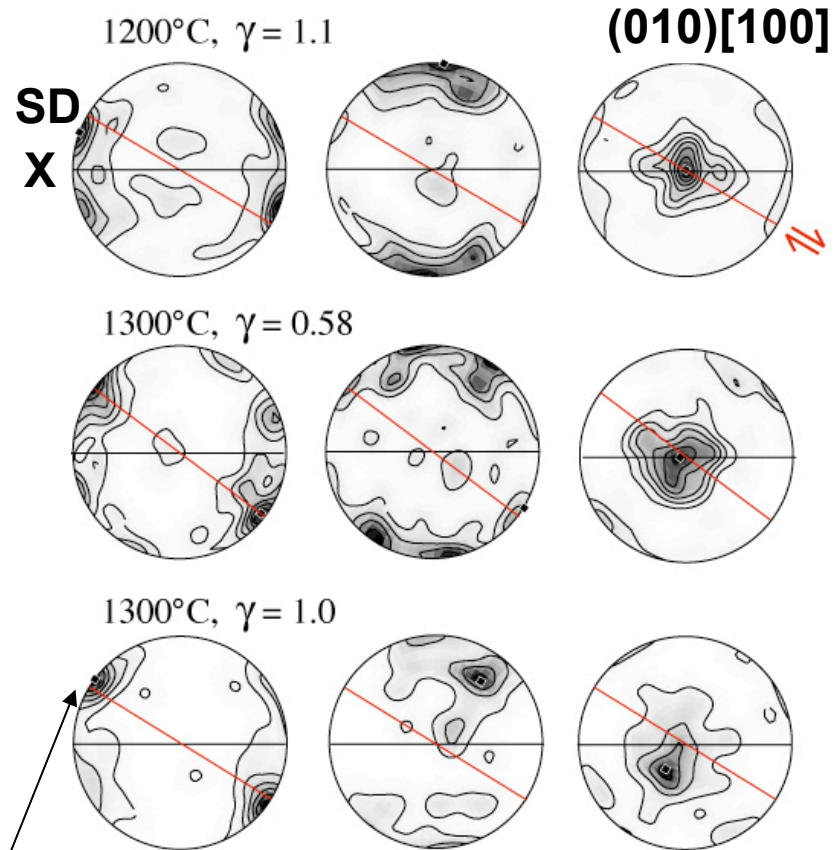
*diffusion: changes the shape, not the CPO*



# *Recrystallization?*

## Experimental deformation: simple shear

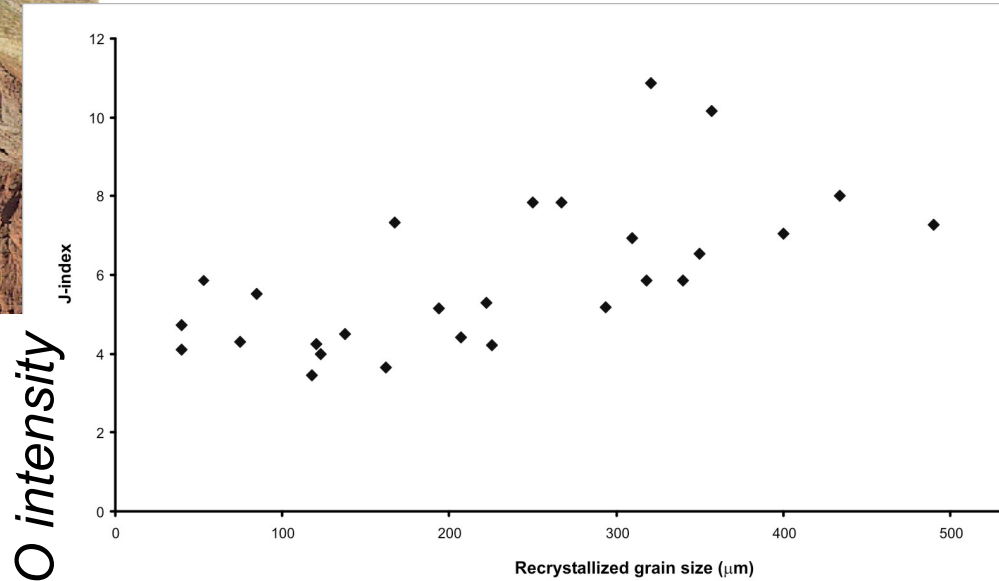
Zhang & Karato (1995), *Nature*



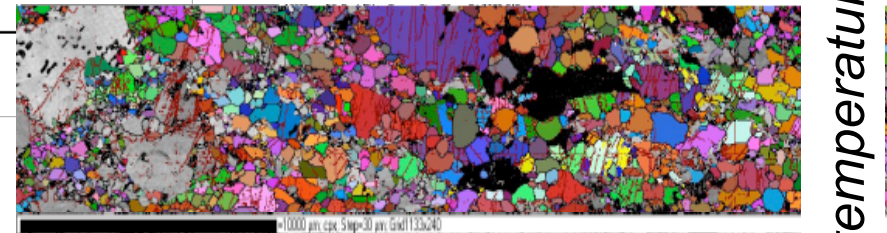
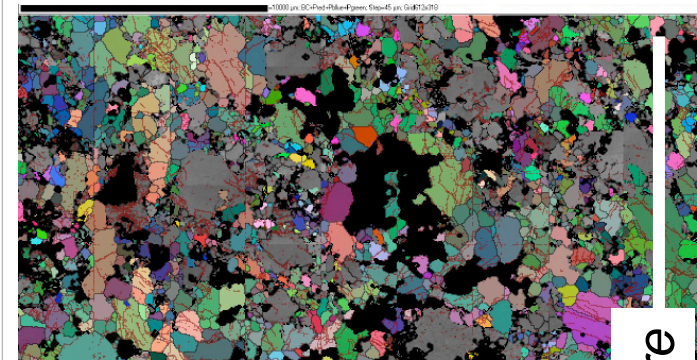
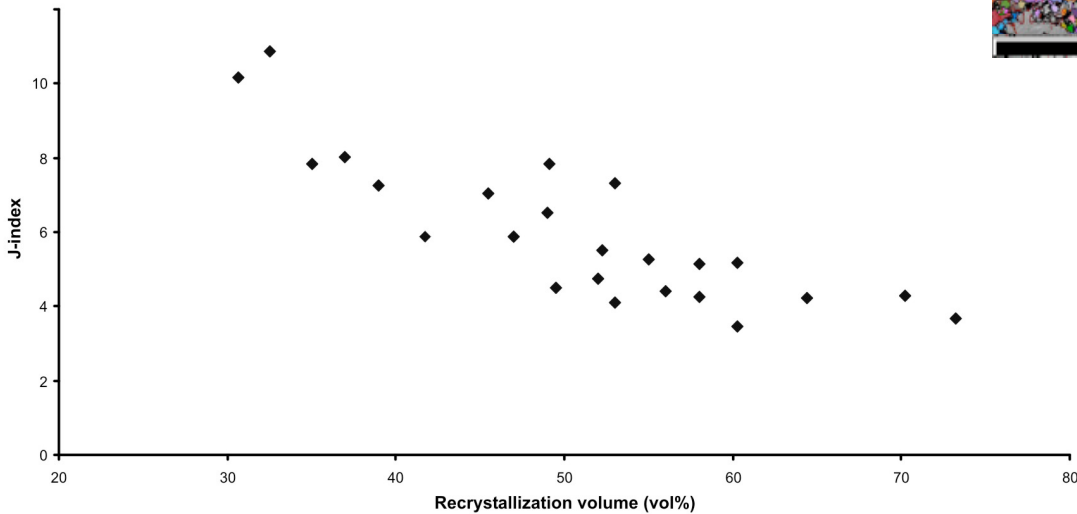
dynamic recrystallisation

# Dynamic recrystallisation @ lithospheric conditions

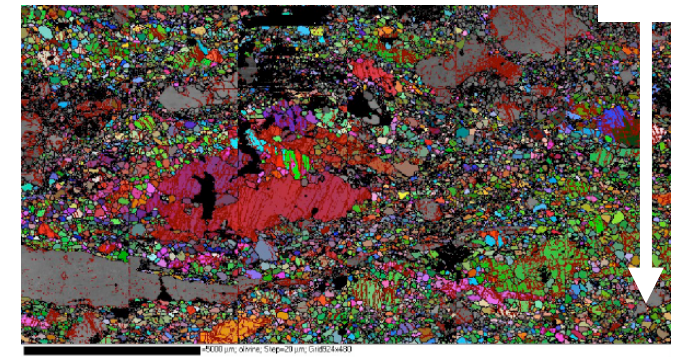
shallow lithospheric mantle xenoliths :  
East Carpathians



CPO intensity

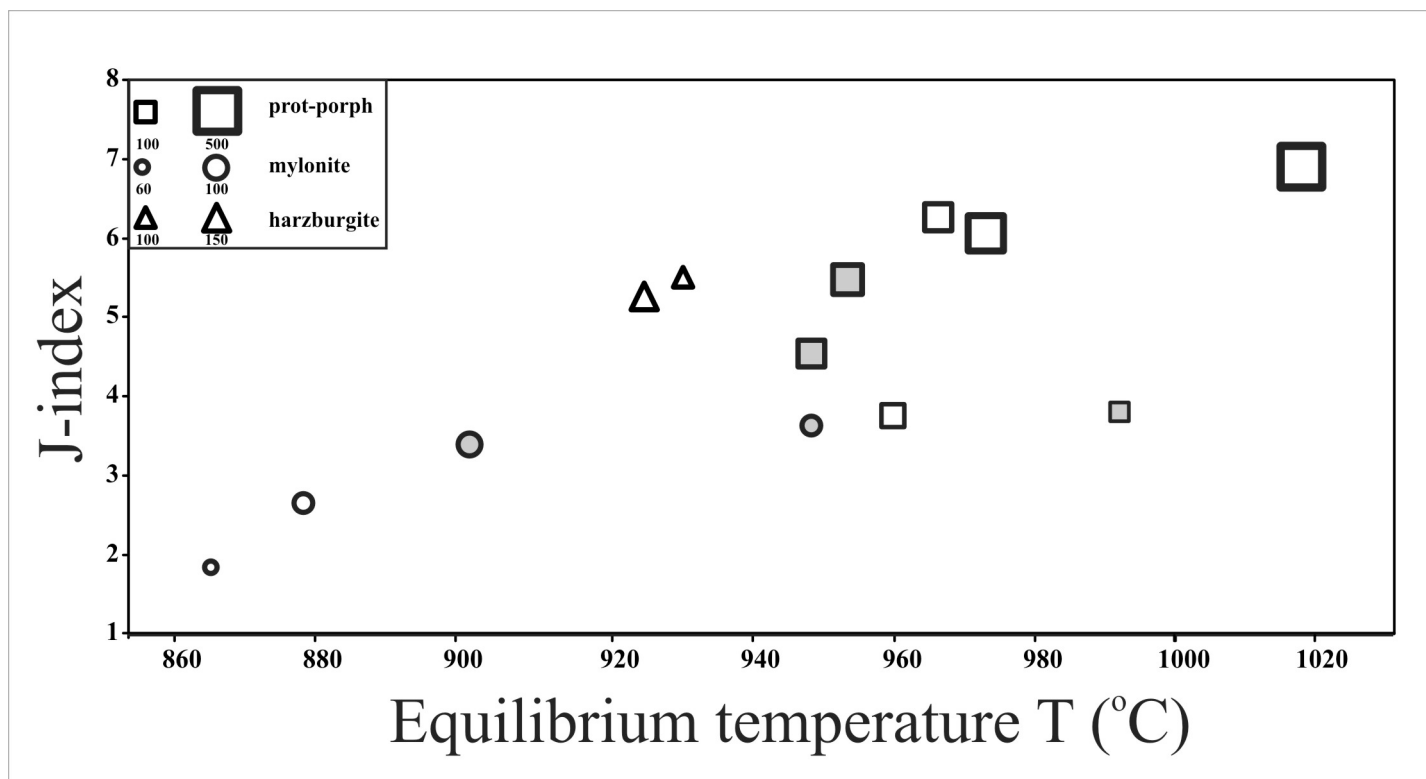


temperature

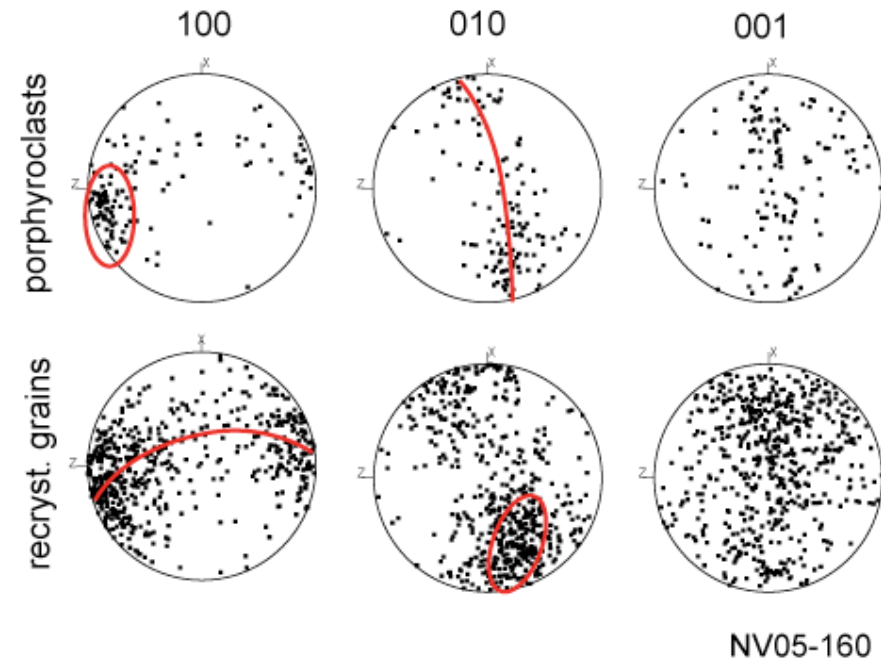
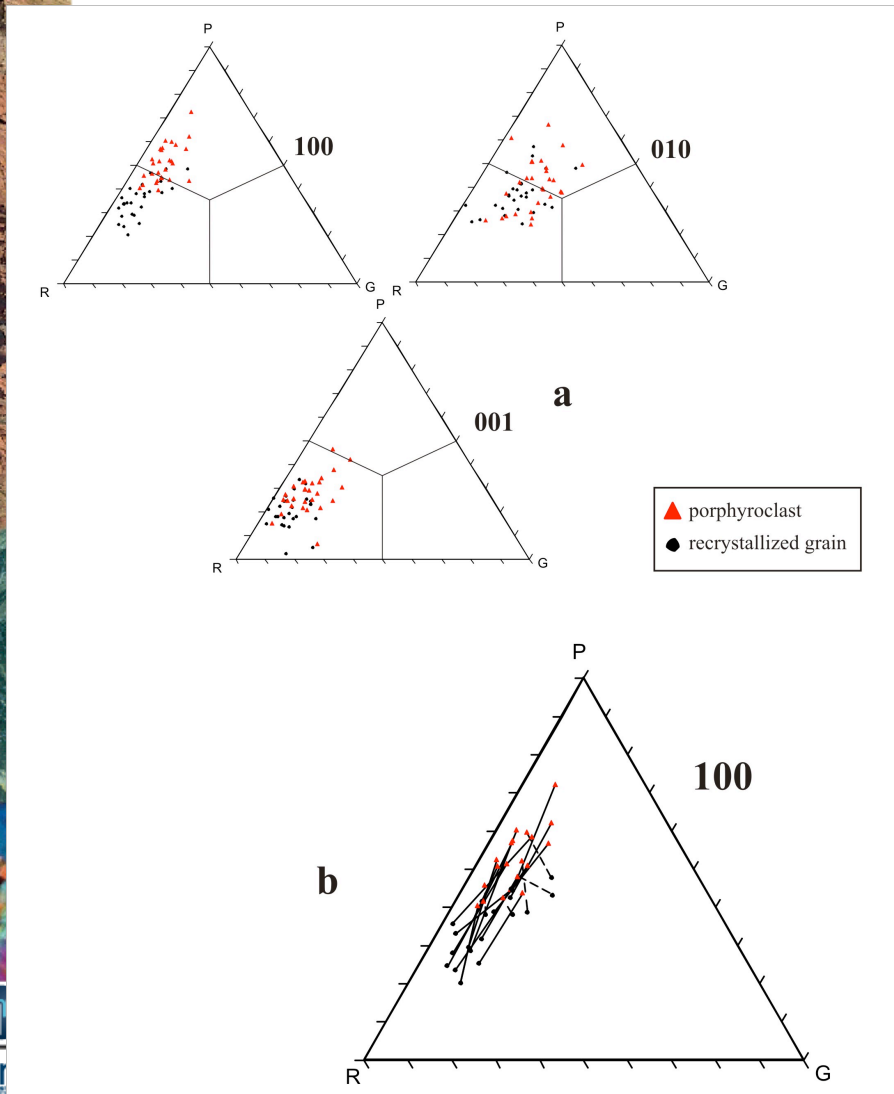
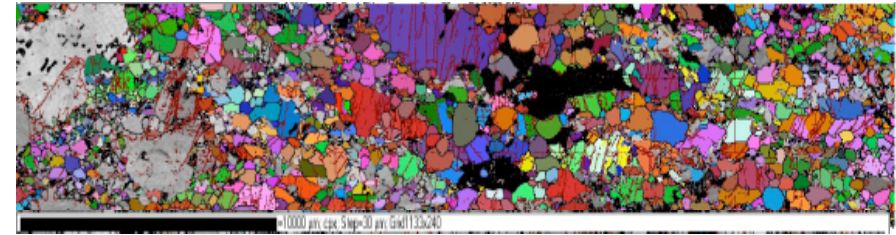


$T \nearrow, \sigma \searrow$  : grain growth

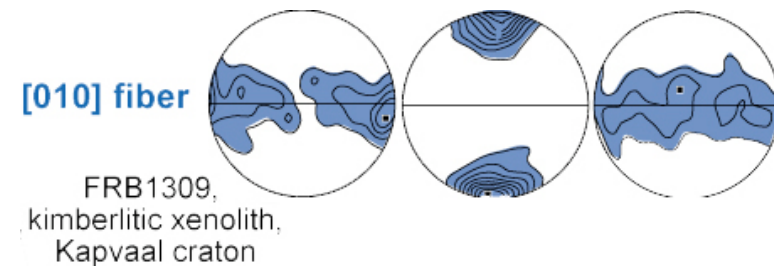
## Recrystallization & CPO strength



# Recrystallisation by subgrain rotation



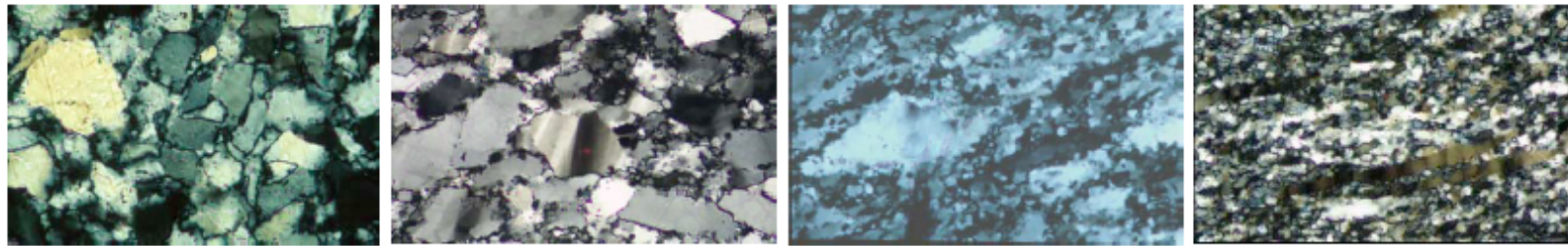
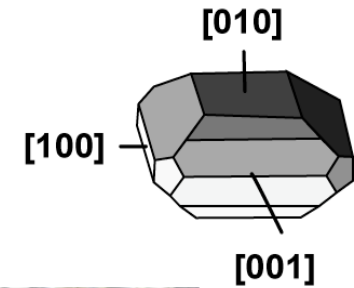
**dispersion of [100]  
development of axial [010] CPO**





**Torsion experiments - high shear strains**  
**CPO evolution as a function of strain**

**[100] // shear direction & [010] normal to shear plane**



$\gamma = 0$

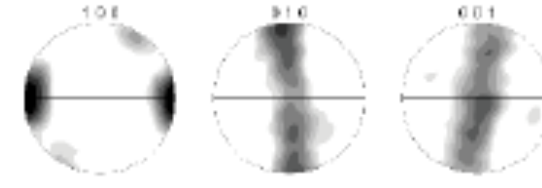
$\gamma = 0.5$

$\gamma = 2$

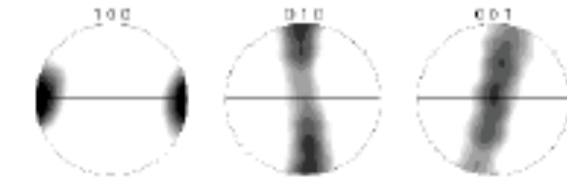
$\gamma = 5$



$\gamma = 1$



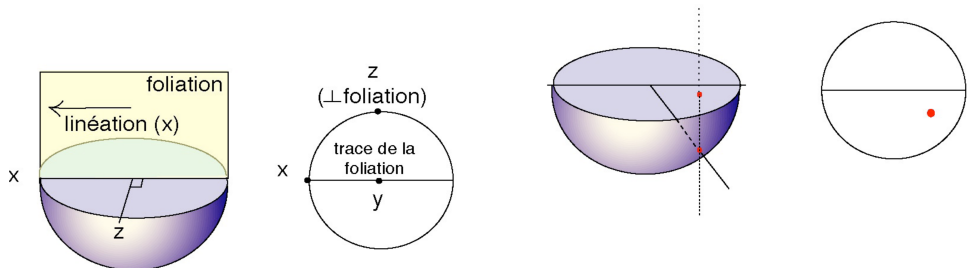
$\gamma = 3$

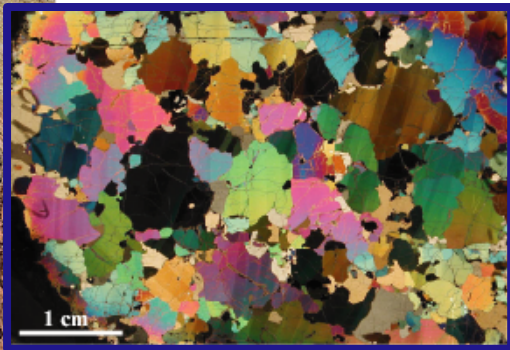


$\gamma = 6$

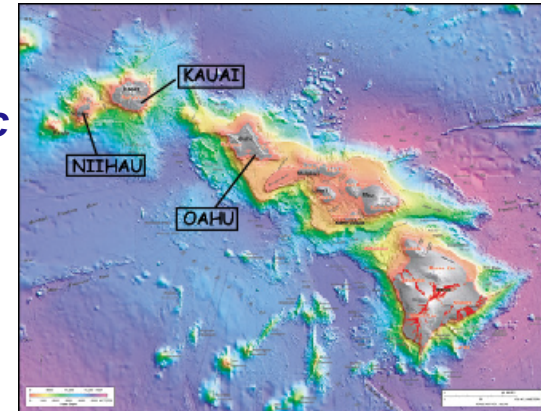
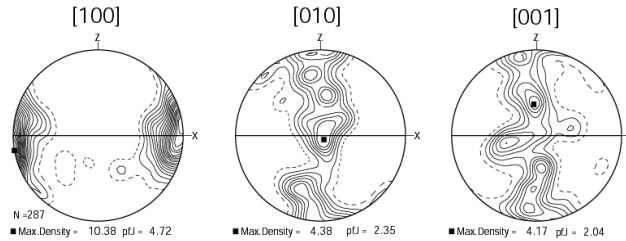
**low strain:**  
**fast CPO evolution**

**high strain:**  
**very slow CPO evolution**

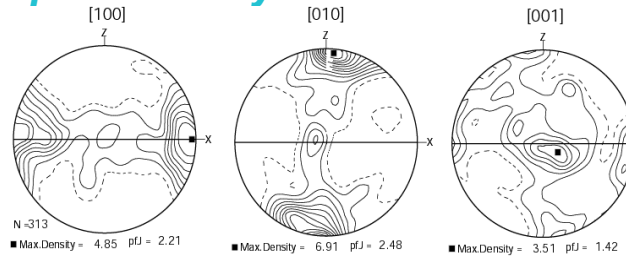




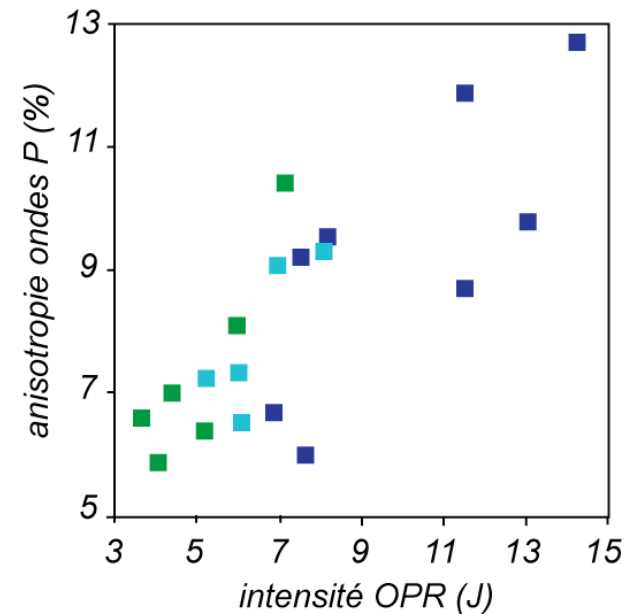
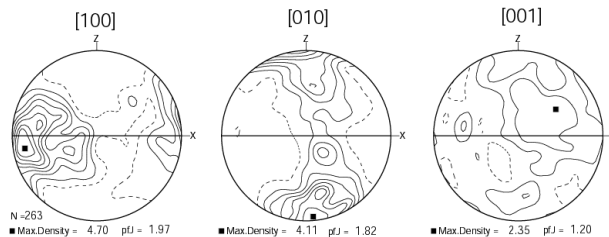
**HT coarse-grained porphyroclastic**



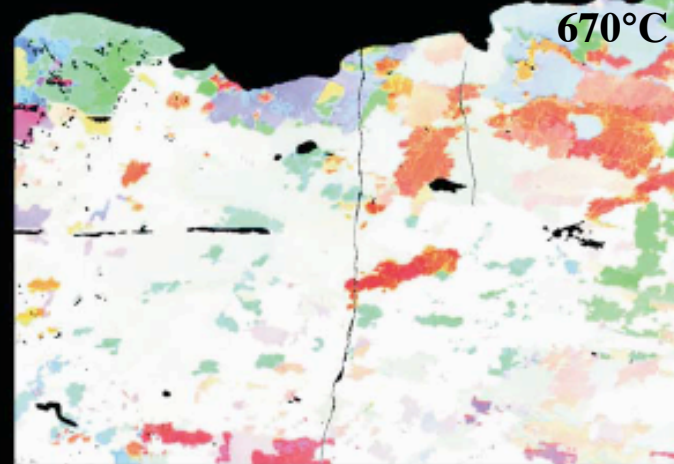
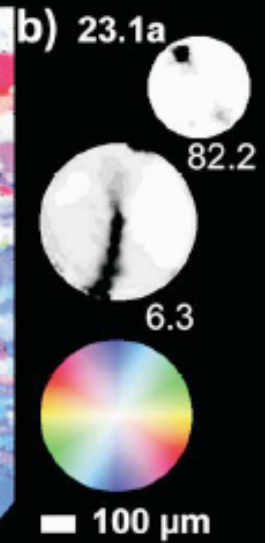
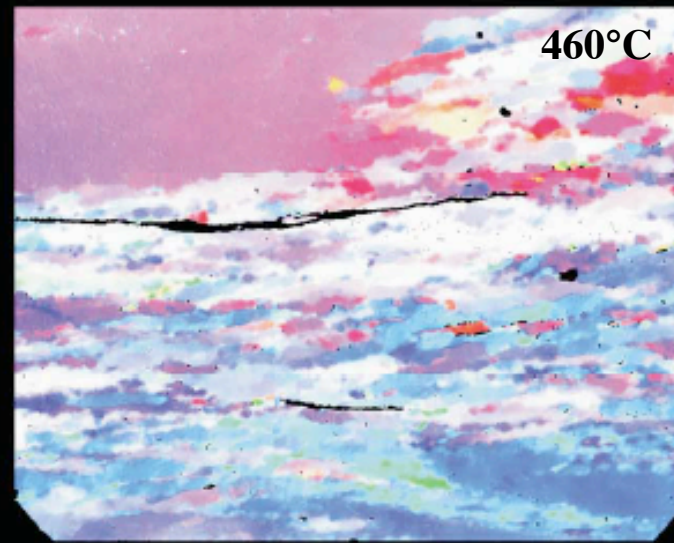
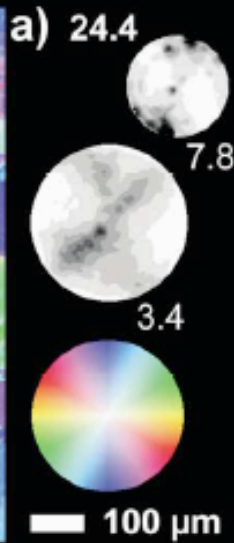
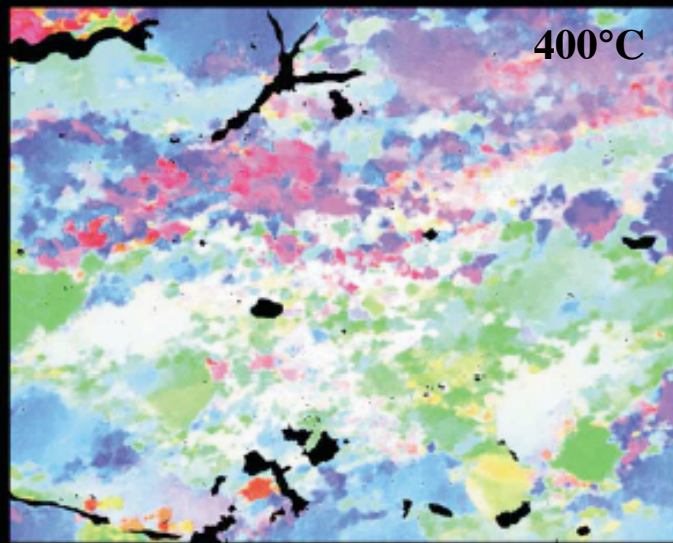
**partial recrystallisation**



**equigranular: 100% recryst.**

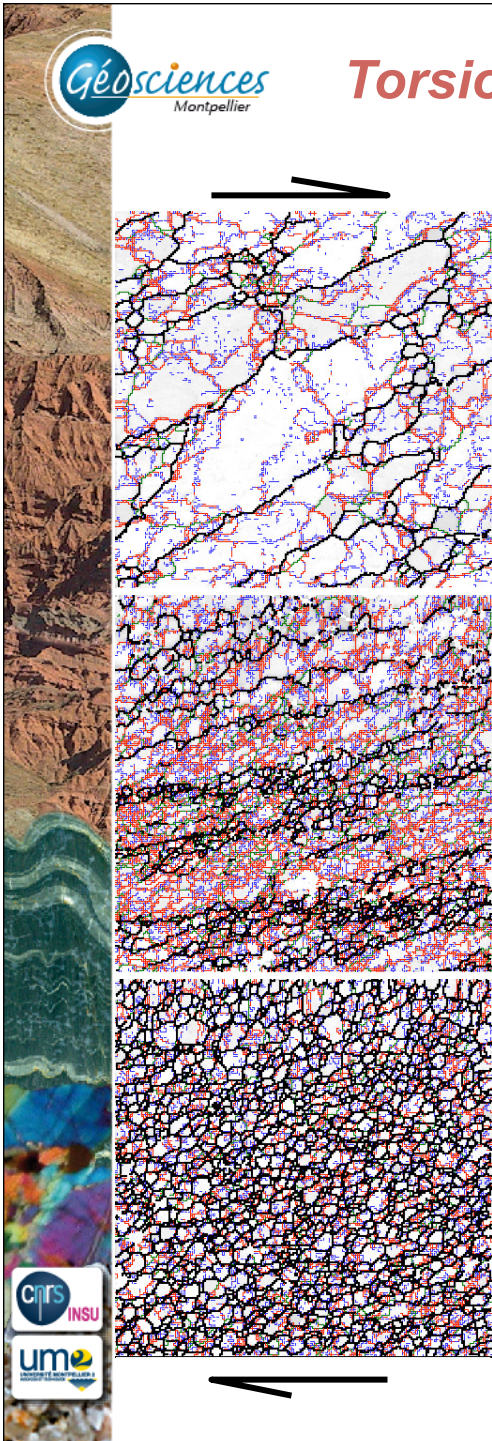


*recrystallisation : dispersion CPO = decrease in anisotropy related to the Hawaii plume?  
 opx-cpx thermometers record no heating!*

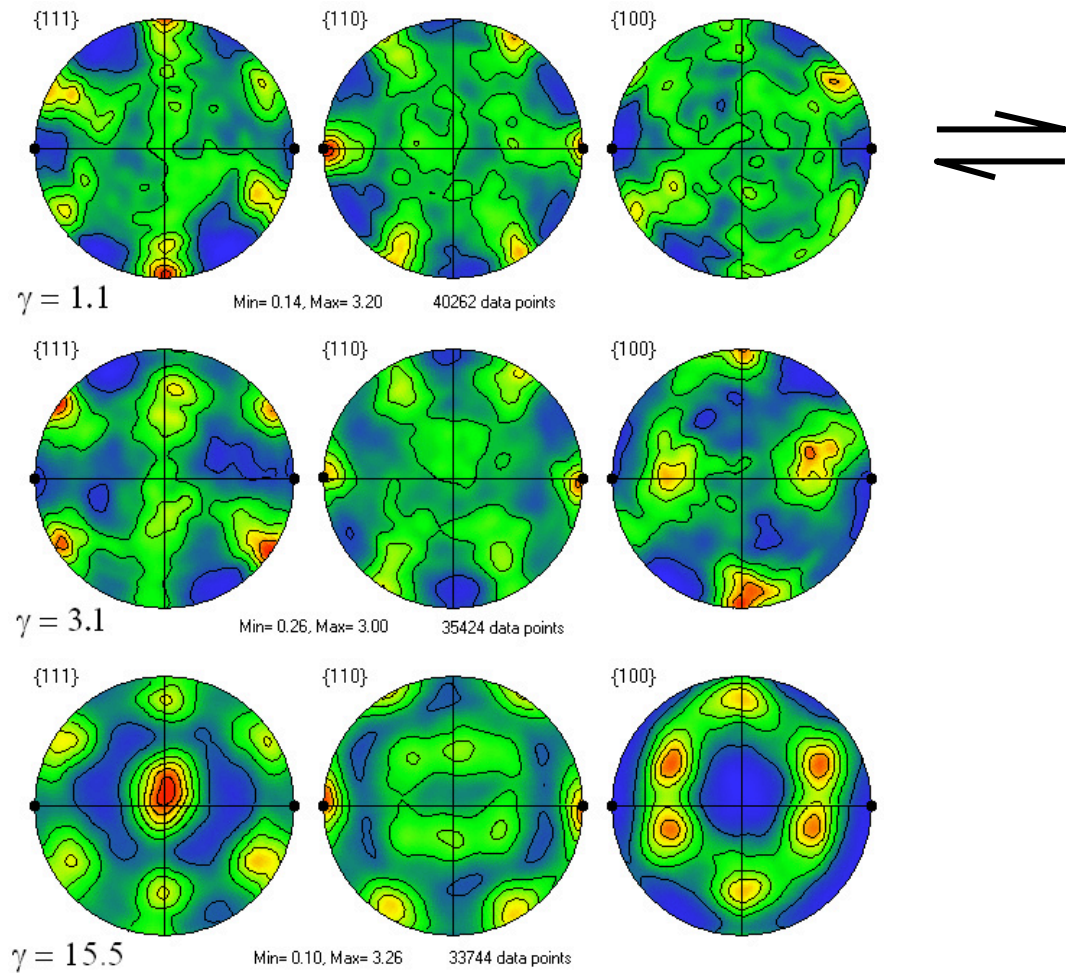


## Torsion test – texture evolution with increasing strain

MgO : ~ 10-30% lower mantle (700-2700 km)



<1°  
1-5°  
>5°



Heidelbach et al. JGR, 2006

Change in CPO due to dynamic recrystallization?

# *Dynamic recrystallization:*

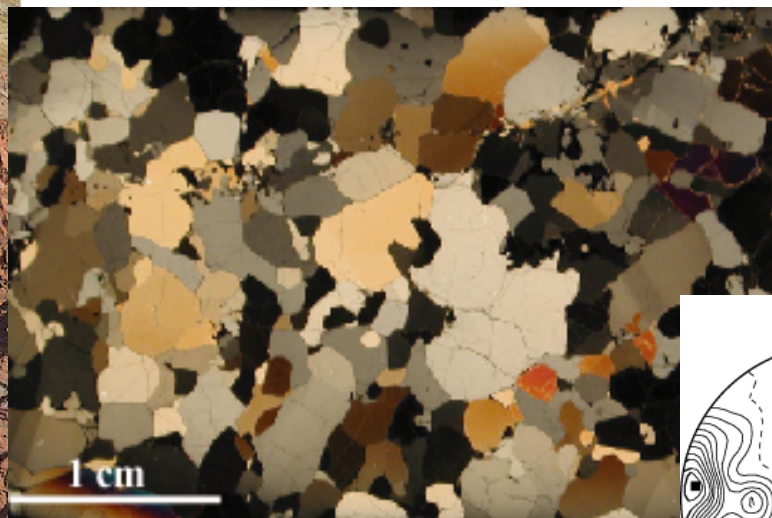
*- faster reorientation of the CPO // imposed shear*

*- stabilization of the CPO  
subgrain rotation, nucleation = dispersion CPO  
migration = concentration CPO*

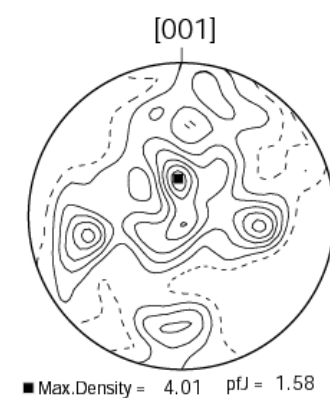
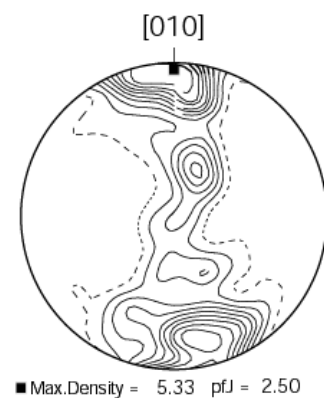
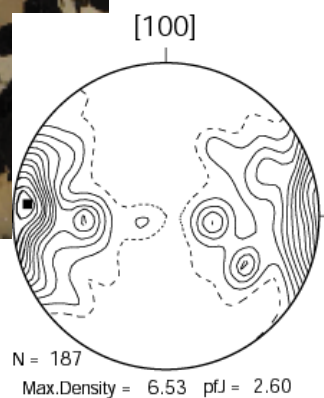
*- MgO??*

# *Static recrystallization (annealing)*

## REMARK: Peridotite "protogranular" microstructures & olivine crystal preferred orientations



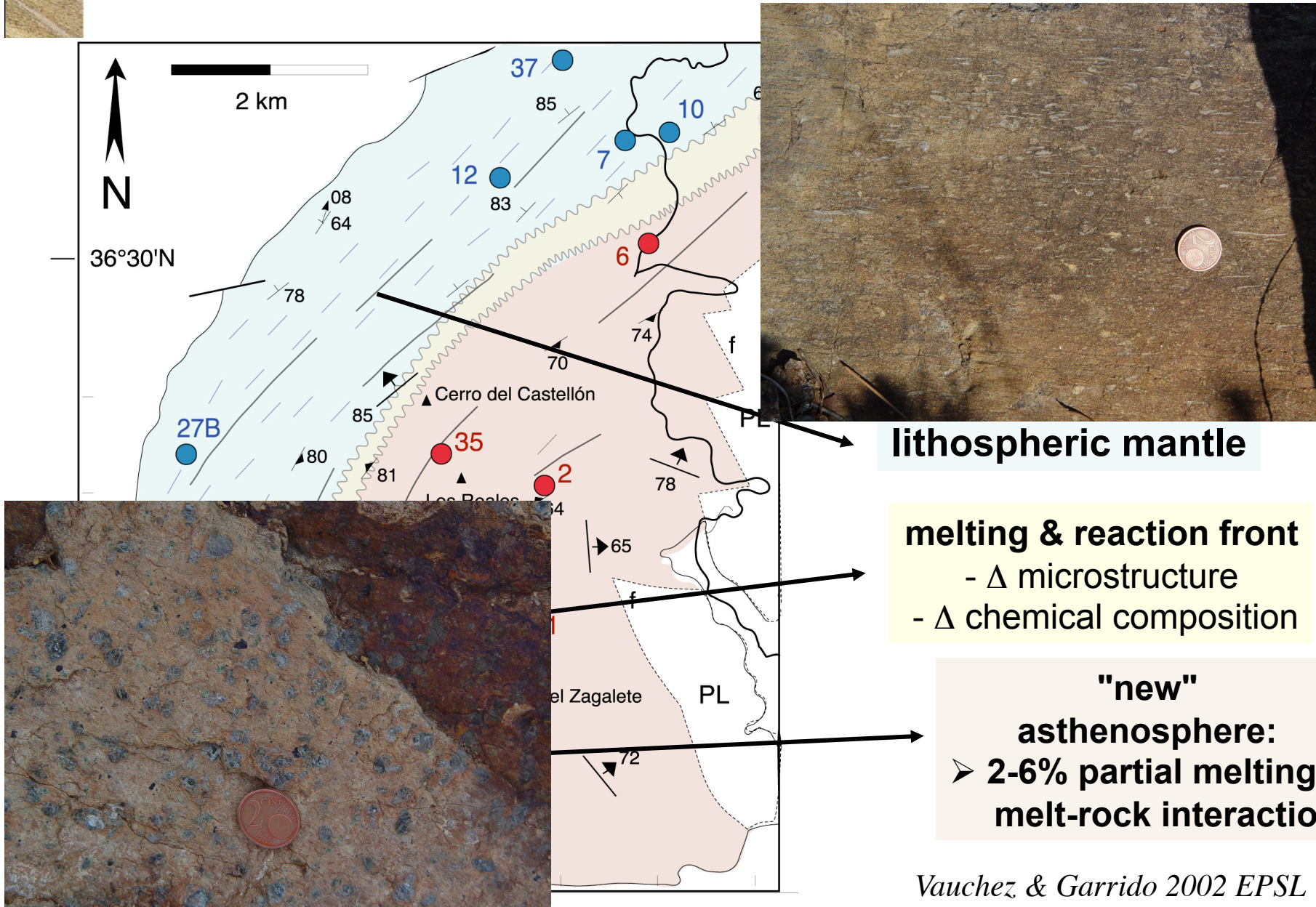
harzburgite Hawaii



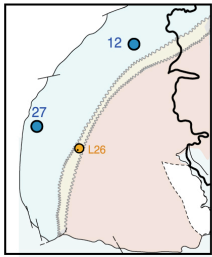
*strong CPO = deformation by dislocation creep  
microstructure = static recrystallization & grain growth*

*except for very fine grained mylonites, CPO is always present  
➤ undeformed mantle probably does not exist anymore on Earth...*

# Does partial melting and melt infiltration affect crystal preferred orientation?

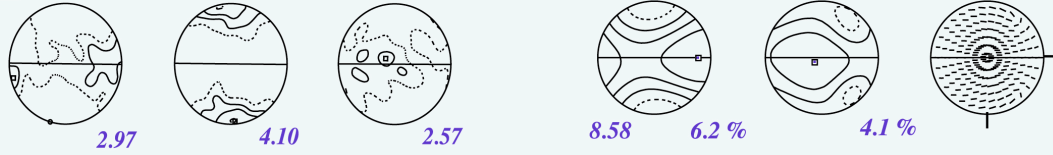






[100] [010] [001] Vp AVs Vs1 - PP

27 (Lz)  
-1322 m  
n = 385



12 (Hz)  
-640 m  
n = 520

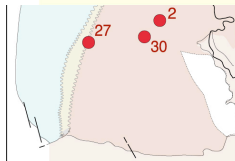


L26 (Lz)  
0 m  
n = 568



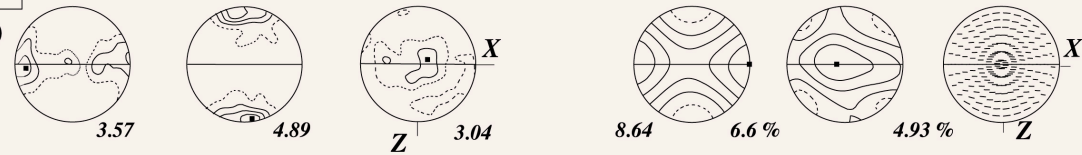
**lithospheric  
mantle**

**melting &  
reaction front**



[100] [010] [001] Vp AVs Vs1 - PP

L27 (Lz)  
133 m  
n = 428



2 (Hz)  
1131 m  
n = 621



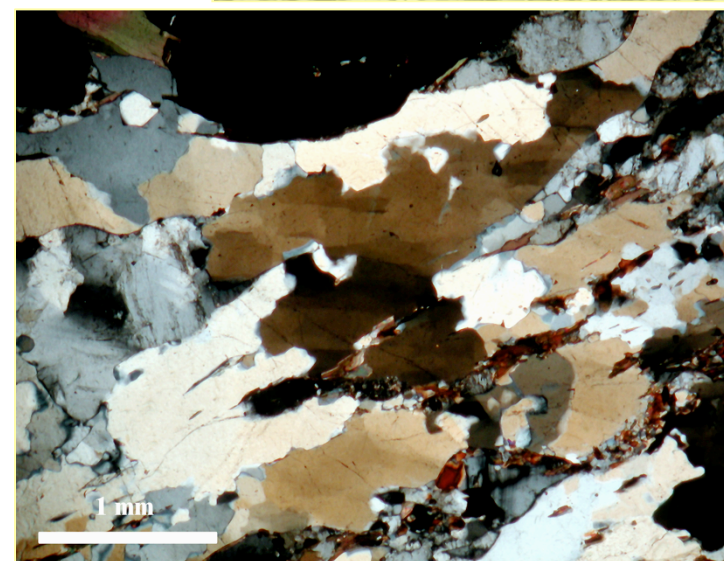
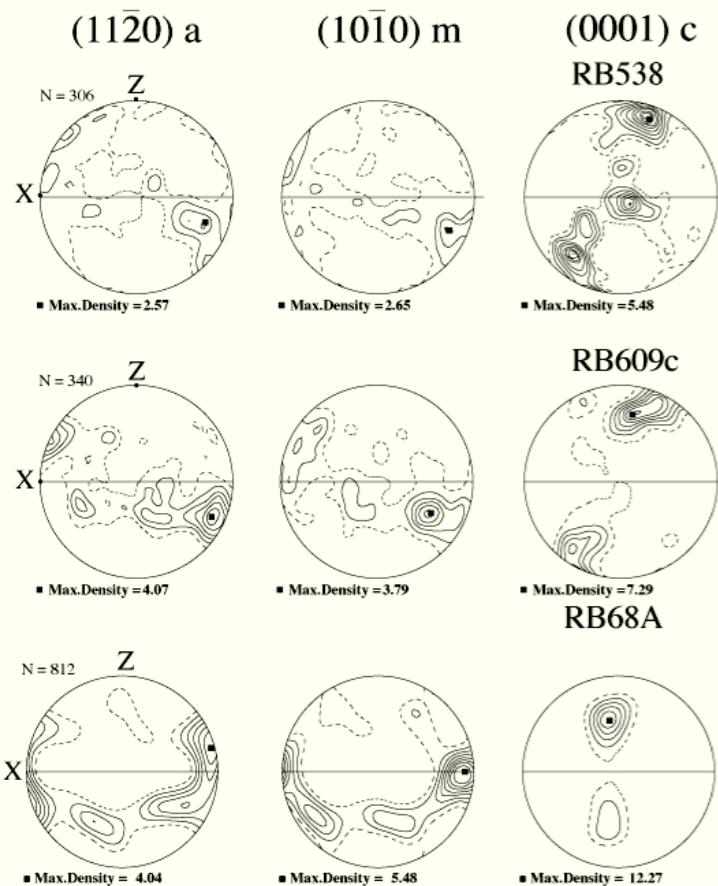
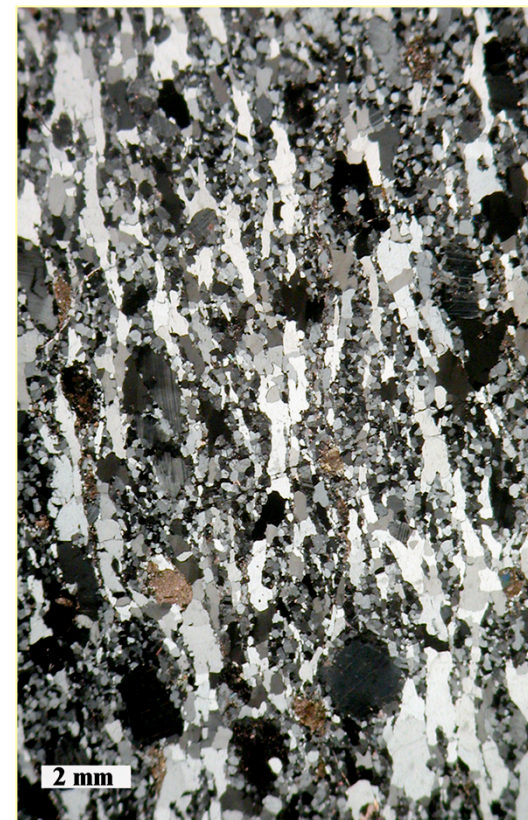
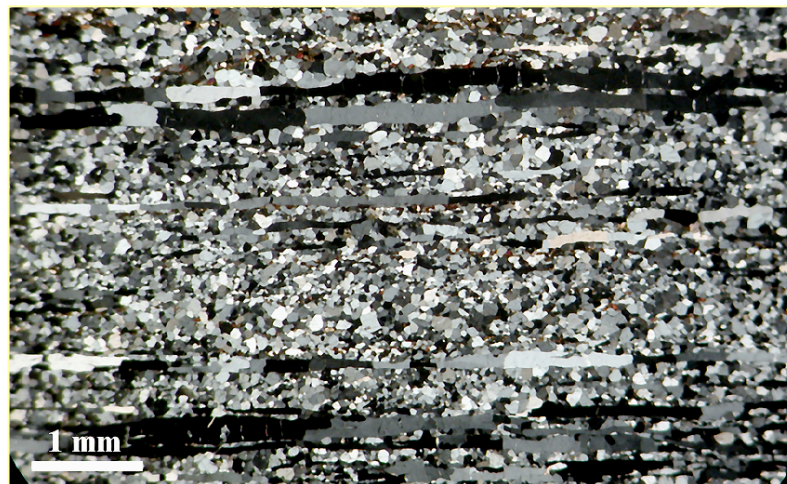
30 (Lz)  
1198 m  
n = 292



**"new"  
asthenosphere**

## *Static recrystallization:*

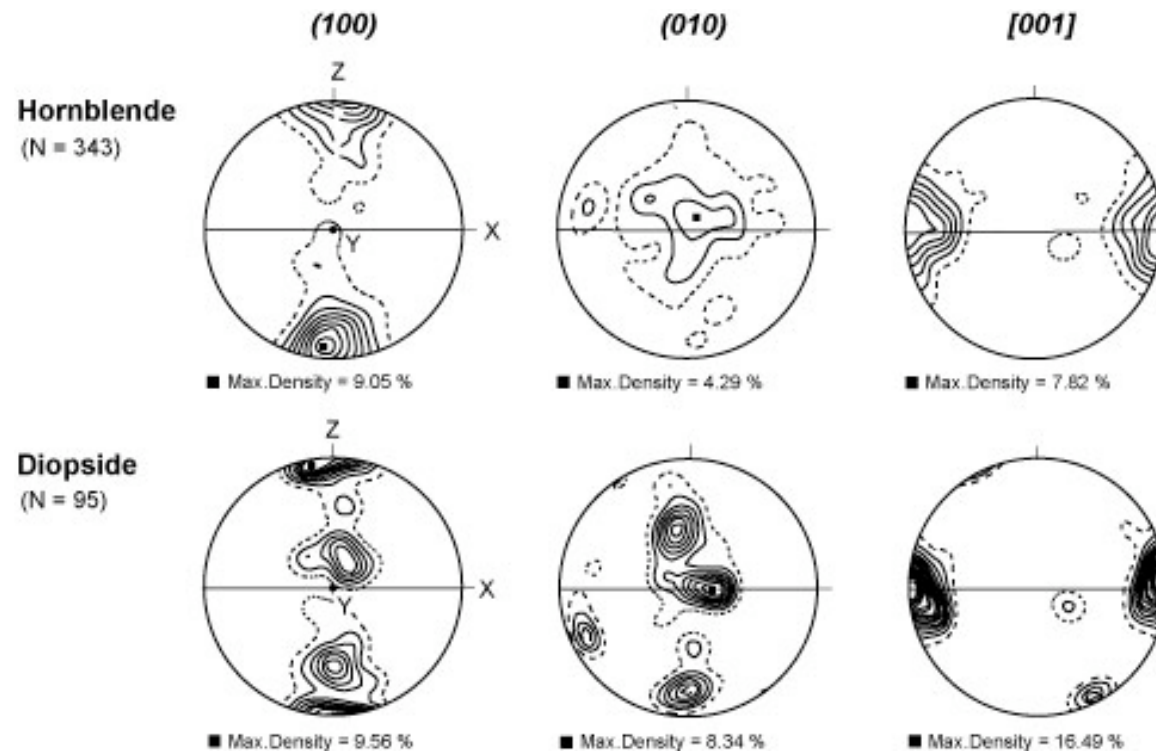
- poorly-known effect*
- olivine : no effect if not accompanied by neo-crystallization of olivine (reaction – open system)*
  - quartz?*



# *Oriented crystallization (reactions & phase transformations)*

# Partial melting experiments

hornblende + plg = magma + diopside  
(amphibolite 80% hb)



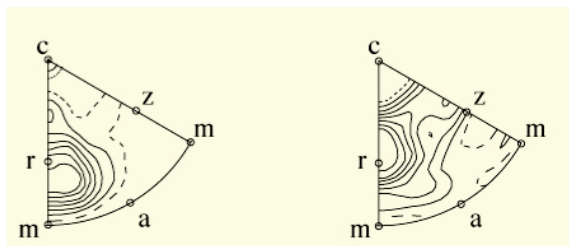
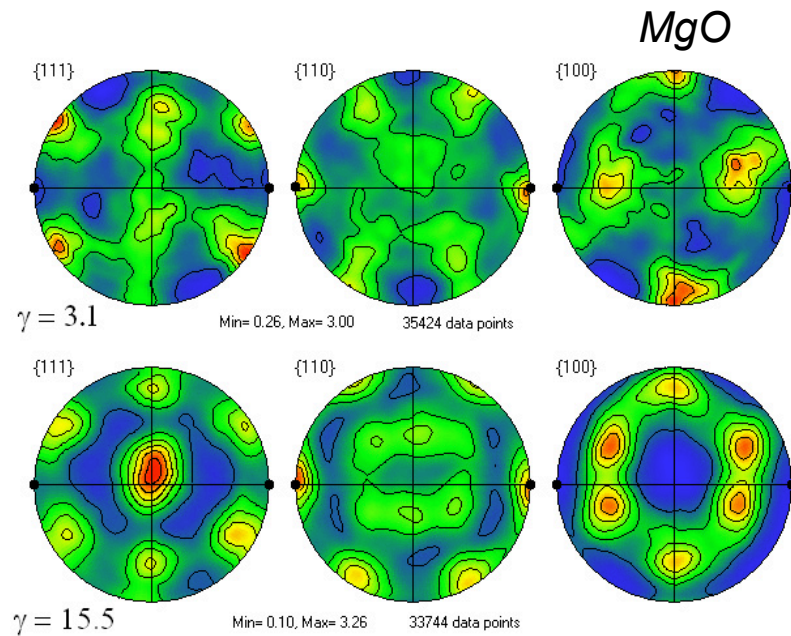
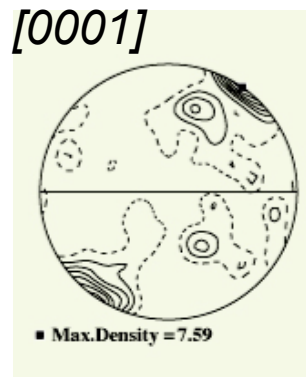
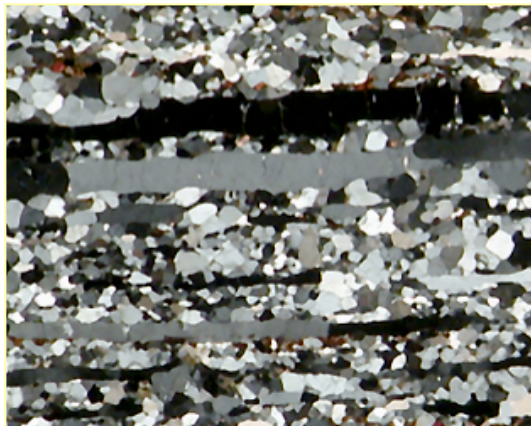
J. Ramelow, GFZ Potsdam

- **CPO evolution during deformation depends on :**
  - ✓ **deformation geometry**
  - ✓ **slip systems activity, which depends on:**
    - temperature**
    - deviatoric stress**
    - water**
    - pressure**
    - melt**
  - ✓ **finite strain, but:**
    - *dynamic recrystallisation : dispersion of CPO*
    - *dynamic grain growth : enhancement (preferential growth of crystals in easy glide orientations)*
    - ✓ **CPO stable for shear strains >4-5**
  
- **Thermal and chemical processes do not destroy CPO, but a new deformation may reorient it**

• **Open questions:**

✓ **Deformation mechanisms & slip systems' strength:  
Temperature, pressure, water....**

✓ **Recrystallization ?**

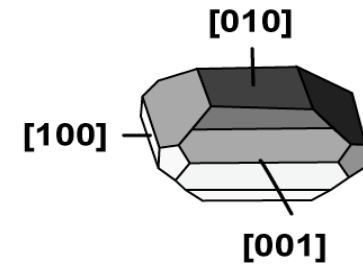


✓ **Development of CPO during diffusion creep?**

Final remark:

- dominant slip system // macroscopic shear  
 ✓ only valid for basal slip = olivine

clinopyroxene: dominant slip system {110}[001]



Simple Shear  
 N=1000; J=7.31

$\gamma/L$

